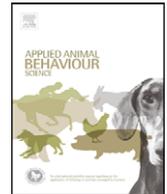




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2 Optical flow patterns in broiler chicken flocks as automated measures of  
3 behaviour and gait4 Marian Stamp Dawkins<sup>a,\*</sup>, Hyoung-joo Lee<sup>b</sup>, Corri D. Waitt<sup>a</sup>, Stephen J. Roberts<sup>b</sup>5 <sup>a</sup> Department of Zoology, University of Oxford, South Parks Road, Oxford OX1 3PS, UK6 <sup>b</sup> Department of Engineering Science, University of Oxford, Parks Road, Oxford OX1 3PJ, UK

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## ABSTRACT

The aim of this study was to test the hypothesis that valuable on-farm outcome measures of broiler (meat) chicken welfare can be derived from optical flow statistics of flock movements recorded on video or CCTV inside commercial broiler houses. 'Optical flow' describes the velocity of image motion across an eye or camera and statistical patterns can be derived automatically and continuously throughout the life of a flock. We provide descriptive statistics (mean, variance, skewness and kurtosis) of optical flow of 10 intensively housed commercial broiler flocks between the ages of 32 and 35 days. There were no significant correlations between any of these measures and flock mortality. However, all four measures were correlated significantly with the % of birds in a house showing poor walking (high gait scores). Furthermore, these gait scores were highly negatively correlated with the % of time chickens spent walking and with their stride rate (no. of strides/min), as measured by focal behaviour analysis of individual birds from the same video records. The results suggest that optical flow measures have the potential to be used as an adjunct or even a substitute for gait scoring on commercial farms with the added advantage that the measurements could be made continuously throughout the life of a flock, are fully automated, completely non-invasive and non-intrusive and do not involve the biosecurity risk of having people visiting different farms to carry out gait scoring. The correlations between gait scores and optical flow also suggest that gait scoring itself has an objective basis.

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7

## 8 Q2 1. Introduction

9 In response to the growing world-wide demand for  
10 chicken meat (FAO, 2006), modern breeds of broiler  
11 chicken have been heavily selected for high juvenile  
12 growth rate and efficiency of food conversion (Arnould and  
13 Leterrier, 2007; Bessei, 2006; Estevez, 2007; Renemam  
14 et al., 2007). However, this commercially valuable fast  
15 early growth rate is now associated with major welfare  
16 problems, notably inability to regulate oxygen supply  
17 leading to cardiovascular failure (Baghbanzadek and

Decupere, 2008; Julian, 1995), lameness or difficulty in Q3 18  
walking (Bradshaw et al., 2002; Kestin et al., 1992; 19  
Knowles et al., 2008) and obesity resulting in the need 20  
for feed restriction in the parent birds (Bessei, 2006; D'Eath 21  
et al., 2009; Renemam et al., 2007). 22

Proposals for improving chicken welfare have included 23  
better lighting (Blatchford et al., 2007; Kristensen et al., 24  
2006), environmental enrichment such as perches 25  
(Tablante et al., 2003), opportunities for exercise (Bizeray Q4 26  
et al., 2004; Reiter, 2004), genetics (Arnould and Leterrier, 27  
2007; Dawkins et al., 2004), reduced stocking density 28  
(European Commission, 2000) and improved air and litter Q5 29  
quality (Dawkins et al., 2004; Estevez, 2007; Jones et al., 30  
2005; Meluzzi et al., 2008). Determining objectively 31  
whether these factors genuinely do improve bird welfare 32  
in a commercial setting, however, depends critically on 33

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34 having clearly defined and measurable welfare 'outcomes'  
35 by which commercial flocks can be judged (Blokhuys et al.,  
36 Q6 2008; Bracke, 2007; Edwards, 2007; Veissier et al., 2008;  
37 Whay, 2007). Not all measures of welfare, which may give  
38 valuable information in a research environment are  
39 suitable as routine, on-farm assessment outcomes (Boi-  
40 treau et al., 2007). Corticosteroid levels (Lane, 2006) or use  
41 of a plate to record weight distribution of a walking bird  
42 Q7 (Corr et al., 2008) for example, are valuable indicators of  
43 welfare when research resources and time are available,  
44 but are unsuitable for mass use on a daily basis on  
45 commercial farms. To qualify as a useable welfare 'out-  
46 come' for producers and auditors, a welfare measure has to  
47 be easy to use, inexpensive, automated, quantitative and  
48 robust as well as validated against other, possibly less  
49 accessible, measures (Sørensen et al., 2007). It also has to  
50 have the joint agreement of producers, scientists and  
51 Q8 consumers, who may not always agree (Napolitana et al.,  
52 2007).

53 With broiler chickens, outcome measures of welfare  
54 that fulfil some of the above criteria include mortality,  
55 which is recorded on a routine basis by producers, and  
56 mass screening of external measures of bird health that can  
57 be carried out at the slaughter plant. For example, birds  
58 that have discoloured legs (hock burn) and lesions on their  
59 feet (pododermatitis) reveal that they have been standing  
60 or sitting on wet, soiled litter (Broom and Reefmann, 2005)  
61 and a welfare outcome standard can be specified as the  
62 requirement that flocks must not exceed a certain % of leg  
63 and foot damage (Haslam et al., 2006). But low levels of  
64 mortality and lack of external tell-tale signs of damage on  
65 dead birds do not adequately define what most people  
66 mean by 'welfare' (Dawkins, 2008), nor do they enable  
67 corrective measures to be taken during the life of a flock.  
68 What is urgently needed are automated welfare outcomes  
69 that can be applied to living birds, made continuously  
70 throughout their lives and used to set standards for  
71 producers and breeders to meet.

72 So far, the most commonly used outcome measure of  
73 living birds on a large scale is to observe individual birds  
74 walking and then give each one a 'gait score' on a ranked  
75 scale (Kestin et al., 1992), but this is very time-consuming  
76 and physically demanding if done correctly, the results  
77 vary with the person doing the scoring even after training  
78 (Butterworth et al., 2007; Webster et al., 2008) and it gives  
79 only a 'snapshot' of the welfare state of a flock so that it is  
80 often only done as a maximum once or twice for each flock.  
81 There is also a biosecurity risk from observers moving  
82 between farms.

83 We here provide evidence that potentially valuable  
84 outcome measures of broiler welfare can be derived from  
85 the optical flow patterns of broiler flock movements  
86 recorded on video or CCTV inside commercial broiler  
87 houses. Previous attempts to automate the analysis of  
88 video sequences have attempted to track the movements  
89 of individual animals, but this is technologically very  
90 difficult and can lead to cumulative errors as individual  
91 animals are lost or confused with others. 'Optical flow', on  
92 the other hand, can be used to describe the mass  
93 movement of whole groups of objects or animals since  
94 it involves measuring the velocity of image motion in front

95 of an eye or camera (Beauchemin and Barron, 1995; Fleet  
96 and Weiss, 2005; Sonka et al., 1999). Whole frames, or  
97 sections of frames, containing tens or hundreds of  
98 individuals are assessed together. The basic statistical  
99 properties of these flow patterns can be derived auto-  
100 matically and continuously from inexpensive equipment.  
101 The resulting statistics are quantitative and objective  
102 scores that can be made continuously over time. The aim  
103 of this paper is to validate these optical flow measures as  
104 outcome measures of welfare for commercial broiler  
105 flocks.

106 We tested the hypothesis that simple descriptive  
107 statistics of optical flow patterns (mean, variance,  
108 skewness and kurtosis) differ between flocks and that  
109 these differences are correlated with more conventional  
110 measures of welfare such as mortality and % of birds in a  
111 flock with poor gaits. Specifically, we expected that there  
112 should be a negative correlation between mean optical  
113 flow and % of birds with poor gaits. In an attempt to  
114 understand how the behaviour of individuals gives rise to  
115 the mass effects detected by changes in optical flow, we  
116 analysed the same video sequences with focal bird  
117 analysis and tested the further hypothesis that the optical  
118 flow measures, although detecting mass movements of  
119 whole groups of birds, are correlated with behaviour  
120 measured at individual bird level, such as sitting and  
121 walking.

## 122 2. Methods

### 123 2.1. Broiler flocks

124 A total of 10 intensively housed commercial broiler  
125 flocks contributed to this study. The flocks contained  
126 between 3700 and 40,000 birds and were of one of two  
127 commercial strains of broiler chickens. 4 of the flocks were  
128 as-hatched (mixed sexes), while 3 were all male and 3 all  
129 female. All flocks were raised to maximum target final  
130 stocking densities of 32 kg/m<sup>2</sup>.

### 131 2.2. Recording and video file preparation

132 Webcams (Logitech 500) attached to laptop PCs were  
133 used to record data. Webcams were attached to posts  
134 inside the houses at a height of approximately 2 m and at  
135 an angle of 70° to the vertical. The video records and gait  
136 scores were taken on separate days when the birds were  
137 between 32 and 35 days of age. Most of these records were  
138 approximately 1 h in length and all were more than  
139 30 min. The exact times, days and durations of the  
140 recordings were subject to the normal daily working  
141 routine within the houses, commercial decisions about  
142 when birds were to be slaughtered, the work schedule of  
143 the farm manager, the functioning of the equipment and  
144 other unforeseen events such as electrical problems,  
145 someone entering the house during a recording, etc.  
146 However, as all these variables would also be operating on  
147 any commercial farm, the system would have to be robust  
148 enough to cope with all of them to be useful.

149 Video files were recorded in AVI format (Microsoft  
150 Corporation, 1997) and then compressed to WMV format

151 to save space at the recording stage. The files were also  
152 subsequently converted to an 8-bit greyscale, with 4  
153 frames-per-second (FPS) and a frame size of  $320 \times 240$  for  
154 standardisation purposes. Sections of files that had few or  
155 no chickens visible, where a person was obviously walking  
156 around the house or where the lights were switched off in  
157 the house were excluded from the analysis.

### 158 2.3. Production data

159 The commercial companies concerned supplied their  
160 routine data on mortality (%) and leg culls (%).

### 161 2.4. Gait scoring

162 All flocks were visited and individuals gait scored at  
163 least once between 32 and 35 days by the same observer  
164 using a three point score (0 = completely normal to  
165 2 = unable to walk) (Dawkins et al., 2004; Webster et al.,  
166 2008). 50-100 birds were individually scored on each  
167 occasion. The observer walked to one of five randomly pre-  
168 selected positions in the house, waited for 2 min for the  
169 birds to settle and then moved slowly forward, watching  
170 the birds from behind as they walked. Each bird was  
171 watched as it walked for 10 paces and a score given for  
172 each one. A catching frame was not used on the grounds  
173 that catching birds in a frame distorts walking. The gait  
174 score of the flock was calculated as the % of birds that were  
175 scored as  $\geq 1$ . Gait scoring was always carried out on a  
176 different day to the video recordings.

### 2.5. Bird motion estimation via optical flow

178 A set of estimated bird motions was extracted from  
179 each video file (consisting of a series of image frames)  
180 using the optical flow algorithm (Beauchemin and Barron,  
181 1995; Fleet and Weiss, 2005). An optical flow is an  
182 approximation to apparent velocities of image motion.  
183 Consider a video file that consists of  $T$  image frames of  
184  $320 \times 240$  pixels. Each image is divided into 1200  
185 ( $=40 \times 30$ ) 8-by-8 pixel blocks. The algorithm estimates,  
186 for each block, a local velocity vector derived by analysis of  
187 the frame-by-frame changes between two consecutive  
188 image frames at time  $t$  and  $t+1$ . The velocity vector  
189 contains two elements, horizontal and vertical, i.e.  
190  $v_i(t) = [v_i^x(t), v_i^y(t)]$ , for frames at time  $t = 1, \dots, T-1$ , and  
191  $i = 1, 2, \dots, 1200$  where  $i$  represents the index of the  
192 corresponding block. Fig. 1 illustrates an example of  
193 calculating the velocity vectors. Panels (a) and (b) are two  
194 consecutive image frames taken from a video where a ball  
195 is moving to the right. Panel (c) is the scaled temporal  
196 difference between pixels of (a) and (b) where the ball  
197 moves from the bright arc to the dark arc. In panel (d),  
198 velocity vectors are represented by lines from centre  
199 points of the set of 8-by-8 pixel blocks. The line length is  
200 proportional to the total velocity in the corresponding  
201 block and its direction is the estimated direction of object  
202 movement within the block. Fig. 2 illustrates a more  
203 realistic example of a car moving to the right.

204 From the velocity vectors, the amount of movement for  
205 each block was obtained as the magnitude of the velocity,

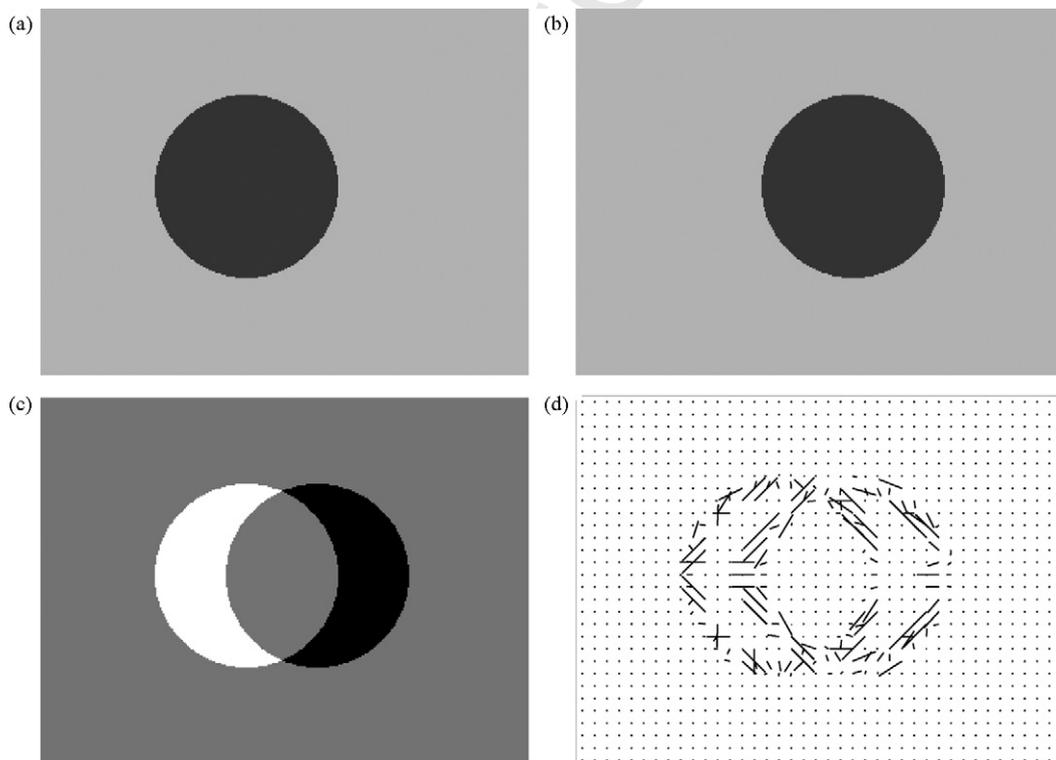


Fig. 1. A diagrammatic example of calculating velocity vectors using the optical flow algorithm. (a) Image frame at time  $t$ . (b) Image frame at time  $t+1$ . (c) Difference between (a) and (b). (d) Velocity vectors.

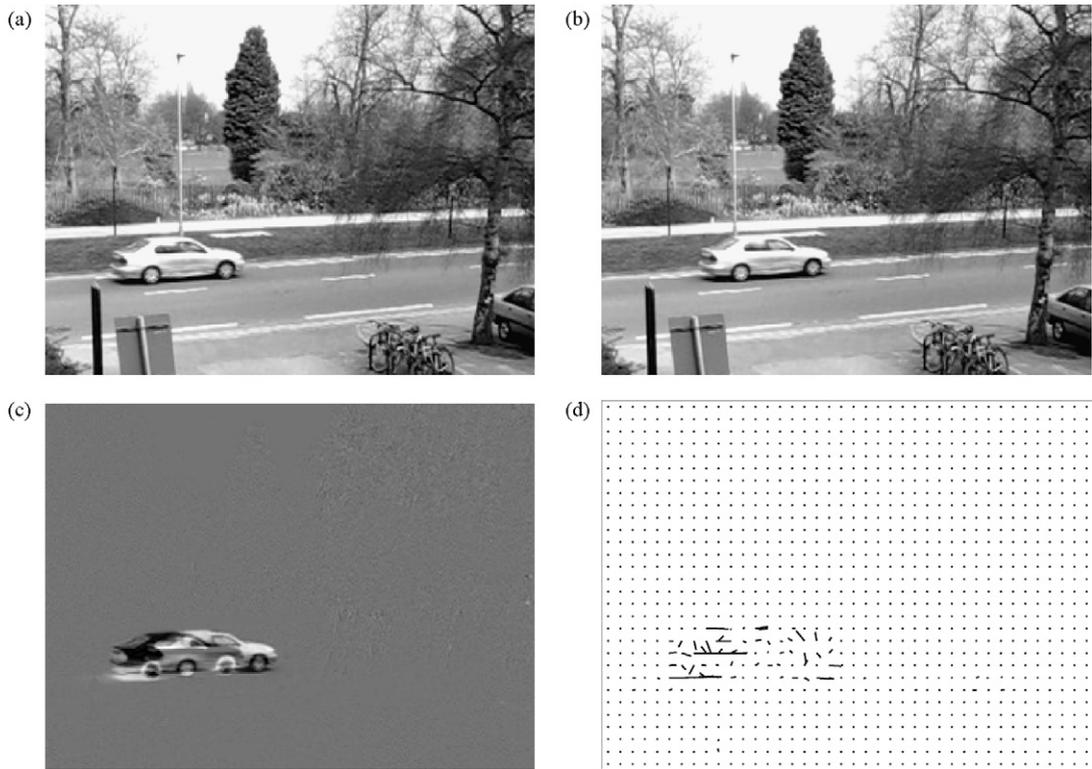


Fig. 2. A realistic example of calculating velocity vectors using the optical flow algorithm. (a) Image frame at time  $t$ . (b) Image frame at time  $t + 1$ . (c) Difference between (a) and (b). (d) Velocity vectors.

$m_i(t) = \sqrt{(v_x^i(t))^2 + (v_y^i(t))^2}$ . Then, the spatial mean, variance, skewness and kurtosis were obtained as a snapshot of birds' movements in each image frame as follows:

Mean : 
$$\mu(t) = \frac{1}{B} \sum_{i=1}^N m_i(t)$$

Variance : 
$$\sigma^2(t) = \frac{1}{B-1} \sum_{i=1}^N (m_i(t) - \mu(t))^2$$

Skewness : 
$$\gamma_1(t) = \frac{(1/(B-1)) \sum_{i=1}^N (m_i(t) - \mu(t))^3}{\sigma^3(t)}$$

Kurtosis : 
$$\gamma_2(t) = \frac{(1/(B-1)) \sum_{i=1}^N (m_i(t) - \mu(t))^4}{\sigma^4(t)} - 3$$

where  $B$  is the number of blocks, i.e. 1200 in our implementation. These four measures were obtained sequentially for each frame in the video files for  $t = 1, \dots, T - 1$ , resulting in a four-dimensional time-series data set. Finally, the four optical flow measures were averaged over the time period to give a summary of each flock. The average optical flow measures were used in the analysis of which we detail in the sections below.

2.6. Behaviour

The same videos that were used for the optical flow analysis were also used for focal animal sampling using JWatcher (Blumstein et al., 2000). A random frame was

chosen at least 10 min from the beginning and the end of a video with a random number generator (www.random.org), to allow birds to settle down and to avoid the impact of human disturbance on locomotion. A transparent acetate sheet was divided into 30 squares (4.9 cm x 4.2 cm) and was placed over the video screen. Squares were randomly selected as above to identify focal birds (one bird per square). For each video, six randomly chosen birds were identified, three sitting down and three standing or walking. Both sitting and standing birds were observed because we wanted to measure both the % time sitting and standing and also the characteristics of walking, such as stride rate, as this would be what a gait scoring observer would see. The behaviour of each of these six focal birds was then recorded for up to 10 min. The following behaviours were recorded: sitting, lying, walking, the number of walking bouts (a bout was defined as the onset of when a bird started walking until it came to a standstill of more than 2 s), the number of strides per walking bout and the stride rate (number of strides/min). From this data from six birds, the mean durations (+S.D. and CV) of sitting and walking was calculated for that record, as well as the mean no. of strides/bout and stride rate.

2.7. Statistical correlations

To investigate the relationships between the 'welfare' measures, we conducted correlation analysis (Hogg and Craig, 1995). Suppose that we have two variables  $X$  and  $Y$ , from each of which  $n$  samples have been drawn, i.e.

259  $\{X_1, \dots, X_n\}$  and  $\{Y_1, \dots, Y_n\}$ . A linear correlation coefficient  
260 between the samples from the two variables is obtained as  
261 follows:

$$r = \frac{\sum_{i=1}^n (X_i - \bar{X})(Y_i - \bar{Y})}{\sqrt{\sum_{i=1}^n (X_i - \bar{X})^2 \sum_{i=1}^n (Y_i - \bar{Y})^2}}$$

262 where  $\bar{X}$  and  $\bar{Y}$  are the sample means of the variables,  
263 respectively. The correlation coefficient ranges from  $-1$  to  
264  $+1$ . A value close to  $+1$  suggests that the two variables have  
265 a strong positive relationship, while a value close to  $-1$   
266 indicates a strong negative relationship. On the other hand,  
267 if the value is close to zero, the relationship is considered  
268 weak or even nonexistent. To determine the significance of  
269 the relationship, the following statistic is calculated:  
270

$$\frac{r\sqrt{n-2}}{\sqrt{1-r^2}}$$

271 which has a  $t$ -distribution with  $n - 2$  degrees of freedom.

### 273 3. Results

#### 274 3.1. Optical flow, mortality and gait scores

275 There were no significant correlations between optical  
276 flow measures and either % flock mortality or % leg cull  
277 (Table 1), although there were significant positive correla-  
278 tions with the optical flow skewness and with the kurtosis  
279 for % flock leg cull. However, all four optical flow measures  
280 were significantly correlated with gait score. Mean and  
281 variance showed strong negative correlations, whereas  
282 skewness and kurtosis showed positive correlations.

#### 283 3.2. Behaviour and gait scores

284 To try to understand what the optical flow measures  
285 were actually picking up, we next looked at the behaviour  
286 shown by the chickens on the same videos from which the

**Table 1**  
Correlation coefficients ( $r$ ) between optical flow measures and mortality,  
leg culls and gait scores recorded for 10 different flocks between 32 and  
35 days of age. Gait scores are expressed as the % of the flock recorded as  
having gaits greater or equal to 1 on a score of 0, 1, 2 (see text).

	Optical flow measures			
	Mean	Variance	Skewness	Kurtosis
% Flock mortality	-0.3016	-0.3278	+0.5584	+0.5056
% Flock leg cull	-0.4193	-0.3742	+0.6562	+0.6417
Gait score	-0.9254**	-0.9063**	+0.9018**	+0.8853**

\*  $p < 0.05$ .  
\*\*  $p < 0.01$ .

**Table 2**  
Correlation coefficients between optical flow measures and different  
behaviours for 32-35-day-old chickens.

	Optical flow measures			
	Mean	Variance	Skewness	Kurtosis
% Time sitting	-0.2109	-0.3486	-0.0227	-0.1952
% Time walking	+0.4254	+0.4884	-0.1015	+0.0213
Mean no. of strides/bout	-0.2512	-0.2504	+0.4288	+0.4301
Stride rate (no. of strides/min)	+0.4991	+0.5514	-0.1390	-0.0198

\*  $p < 0.05$ .

**Table 3**  
Correlation coefficients between gait score and measures of behaviour  
taken from video.

	Behavioural measures			No. of strides/bout
	% Time sitting	% Time walking	Stride rate (no. of strides/min)	
Gait score	-0.12	-0.93**	-0.82**	+0.248

\*\*  $p < 0.01$ .

optical flow measures were taken. Higher mean flows are  
associated with greater activity (Table 2) (walking and rate  
of striding), but only one of the correlations was significant  
(between rate of striding and the optical flow variance). On  
the other hand, there were highly significant correlations  
between behaviour and gait scores (Table 3). Poor gait  
scores within a flock were significantly negatively correla-  
ted ( $r = -0.93$ ) with % time the focal birds in the video  
spent walking and with stride rate or how fast the birds  
were walking ( $r = -0.82$ ).

### 297 4. Discussion

298 The lack of correlation between any of the optical flow  
299 measures we used and flock mortality may at first sight  
300 seem to cast doubt on the value of optical flow as a welfare  
301 outcome measure. However, mortality figures reflect birds  
302 that are not present in the house and therefore do not  
303 appear in the videos, whereas both the optical flow and the  
304 behavioural measures from the same video were taken  
305 from living birds, as were the gait score measures.  
306 Consequently we do not necessarily expect that mortality  
307 measures would necessarily be related to what is seen in  
308 the videos. More important is to consider what optical flow  
309 measures tell us about the welfare of the living birds in the  
310 video.

311 The high correlations between gait scores and all four  
312 statistical measures of flow (mean and variance negative;  
313 skewness and kurtosis negative) suggest that these simple  
314 optical flow summary statistics may indeed be capable of  
315 extracting from the flock some of the same features of flock  
316 welfare as are gathered by people visiting houses and  
317 scoring individual birds on how well they walk. If  
318 substantiated with further data on more flocks and  
319 baseline data on the flow patterns in flocks of different  
320 ages, breeds, and stocking densities, this approach could  
321 provide a supplement or even substitute for gait scoring  
322 but with the advantage that it cuts out the biosecurity risk  
323 of having people actually visiting chicken houses. Further-  
324 more, by having cameras in broiler houses continuously,  
325 there could be an objective daily record of the state of all  
326 flocks whereas gait scoring can only be done on an  
327 irregular basis. As an aside, the significant correlations  
328 between gait score and optical flow also provides support  
329 for the process of gait scoring itself, at least with a three-  
330 point score (Dawkins et al., 2003; Webster et al., 2008).  
331 Gait scoring has been criticised as subjective and difficult  
332 to apply in practice (Butterworth et al., 2007) but the fact  
333 that at least a three-point score correlates so well with an  
334 entirely automatic and objective statistical measure  
335 indicates that it may be more objective than had previously

been supposed. Furthermore, gait scores were highly correlated with behaviour such as walking and stride rate recorded from the video, which again suggests that gait scoring does pick up objectively measurable bird variables.

Although the correlations between optical flow measures and behaviour (Table 2) are in the expected directions (higher flow being associated with more walking, less sitting and a higher stride rate), only one of the correlations is statistically significant, suggesting that the flow patterns are actually a complex end result of several different process operating at the individual bird level.

It is also important to point out that we confined our analysis to birds within a very restricted age range (32–35 days) and that further work is needed before this methodology can be extended generically to birds of other ages, sizes or stocking densities. It is also clear that further work is needed to understand how chicken behaviour gives rise to the observed motion patterns. In particular, more work is needed to interpret kurtosis and skewness of the flows, both of which describe the tendency of a population to depart from single central mode, and which may in the future yield important information about.

We believe that this optical flow approach has potential applications in a wide range of other situations that involve long-term remote monitoring. Most attempts to automate the analysis of video sequences of groups or herds of animals have adopted some version of a 'tracking' approach, in which individual animals in a flock or herd (or people in a crowd) are identified and followed through time (MacCormick, 2002). While this approach has had considerable success with dealing with a small number of easily identifiable animals (Rabaud and Belongie, 2006; Wills et al., 2003), it runs into problems with groups consisting of tens or hundreds of individuals, those problems including occlusion and computational overload. Optical flow detects group-level properties without the need for individual tracking and yet, as we have shown, potentially provides an inexpensive and simple way of monitoring the properties of individuals such as their ability to walk.

## 5. Conclusions

Automated measures of optical flow, taken remotely from video cameras inside commercial broiler houses have the potential to provide continuous 'outcome' measures of the welfare state of the flock. While this approach still needs work and further validation, we have shown that optical flow measures are highly correlated with gait scores and so have the possibility to become a useful adjunct to the much more labour intensive process of gait scoring in broilers, with the major advantage that it could potentially be used to give continuous outcome measure on living birds throughout their lives.

## Uncited references

Corr et al. (2003), European Union Council Directive (2007), Julian (1998), Leone and Estevez (2008), Mitchell (1997), Napolitana et al. (2006), Reiter (2006) and Sanotra et al. (2001).

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