

# FORMALISM OF ADMISSIBLE REPRESENTATIONS

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These are notes from a talk at the Oxford  $p$ -adic Hodge Theory study group in February 2022. They aim to cover the content of [1, I.5] and [4, III.1].

## 1. $(F, G)$ -REGULAR RINGS

**Notation:**  $K$  is a  $p$ -adic field, and  $G_K := \text{Gal}(\overline{K}/K)$ . Write  $C_K$  for the completion of  $\overline{K}$ , and  $\mathbb{F}$  for the residue field of  $K$ .

Let  $F$  be a field and  $G$  a group. Suppose that  $B$  is a commutative  $F$ -algebra such that

- $B$  has an action of  $G$  by  $F$ -algebra automorphisms,
- $E := B^G$  is a field,
- $B$  is a domain.

Aim: construct a functor from finite dimensional  $F$ -representations of  $G$  to finite dimensional  $E$ -vector spaces. Depending on  $B$ , these  $E$ -vector spaces will typically naturally have extra structure.

Let  $C$  be the fraction field of  $B$ . There is a unique extension of the action of  $G$  on  $B$  to an action of  $G$  on  $C$  by  $F$ -algebra automorphisms.

**Definition 1.1.**  $B$  as above is called  $(F, G)$ -regular, if

- $B^G = C^G$ ,
- If  $b \in B \setminus 0$  is such that  $F \cdot b$  is  $G$ -stable, then  $b \in B^\times$ .

From this we see the necessity of the requirement that  $B^G$  is a field.

**Example 1.2.** If  $B$  is a field, then  $B$  is trivially  $(F, G)$ -regular.

We are mostly interested in  $(\mathbb{Q}_p, G_K)$ -regular rings.

**Example 1.3.** Any field extension of  $\mathbb{Q}_p$  with an action of  $G_K$  is  $(\mathbb{Q}_p, G_K)$ -regular. In particular, both  $\overline{K}$  and  $C_K$  are  $(\mathbb{Q}_p, G_K)$ -regular.

Write  $\chi : G_K \rightarrow \mathbb{Z}_p^\times \subset \mathbb{Q}_p^\times$  for the  $p$ -adic cyclotomic character.

**Definition 1.4.** If  $M$  is a  $\mathbb{Z}_p[G_K]$ -module, then for  $n \in \mathbb{Z}$ , define  $M(n)$  to be the  $\mathbb{Z}_p[G_K]$ -module, which is  $M$  as a  $\mathbb{Z}_p$ -module, but with “twisted” action,

$$g \cdot m := \chi(g)^n g(m).$$

More generally, if  $\eta : G_K \rightarrow \mathbb{Z}_p^\times$  is a continuous character, set  $M(\eta)$  to be  $M$  with action  $g \cdot m = \eta(g)^n g(m)$ .

Therefore, we can talk about the action of  $G_K$  on  $C_K(n)$  for  $n \in \mathbb{Z}$ .

**Theorem 1.5** (Sen - Tate Theorem). *Let  $n \in \mathbb{Z}$ . Then the  $G_K$ -invariants,*

$$C_K(n)^{G_K} = \begin{cases} K & \text{if } n = 0 \\ 0 & \text{if } n \neq 0 \end{cases}.$$

Put another way, this says that

- There are no transcendental invariants of  $C_K$ :  $C_K^{G_K} = \overline{K}^{G_K} = K$ ,
- If  $n \neq 0$ , and  $x \in C_K$  with  $x = \chi(g)^n g(x)$  for all  $g \in G_K$ , then  $x = 0$ .

Actually we will need a more general result, which sometimes goes by the same name.

**Theorem 1.6.** *Suppose that  $\eta : G_K \rightarrow \mathbb{Z}_p^\times$  is a continuous character. Then the  $G_K$ -invariants,*

$$C_K(\eta)^{G_K} = \begin{cases} K & \text{if } \eta(I_K) \text{ is finite,} \\ 0 & \text{if } \eta(I_K) \text{ is infinite.} \end{cases}$$

**Example 1.7.**  $B_{\text{HT}}$  can be non-canonically identified with  $C_K[T, 1/T]$ . The action under this identification of  $G_K$  on  $C_K[T, 1/T]$  is given by

$$g \cdot \left( \sum_{i \in \mathbb{Z}} a_i T^i \right) = \sum_{i \in \mathbb{Z}} g(a_i) \chi(g)^i T^i,$$

where  $\chi$  is the cyclotomic character. Then we claim this is  $(\mathbb{Q}_p, G_K)$ -regular. We first need to compute the invariant subring of  $C_K[T, 1/T]$  and of its fraction field  $C_K(T)$ . To do so, we consider both inside the formal Laurent power series ring  $C_K((T))$ , the fraction field of  $C_K[[T]]$ . The inclusion  $C_K(T) \hookrightarrow C_K((T))$  is  $G_K$ -equivariant when  $C_K((T))$  is given the action

$$g \cdot \left( \sum_{i \geq i_0} a_i T^i \right) = \sum_{i \geq i_0} \chi(g)^i g(a_i) T^i.$$

A formal Laurent series as above is  $G_K$ -invariant if and only if each  $a_i \in C_K(i)^{G_K}$ , and so by the Theorem above of Sen-Tate,  $C_K((T))^{G_K} = K$ .

Now to prove the second property, let  $b \in C_K[T, 1/T] \setminus 0$ , and suppose that  $\mathbb{Q}_p \cdot b$  is  $G_K$ -stable. Then the action of  $G_K$  on  $b$  defines a group homomorphism  $\eta : G_K \rightarrow \mathbb{Q}_p^\times$ . For any  $i \in \mathbb{Z}$  and  $g \in G_K$ , we have

$$\eta(g) a_i = \chi(g)^i g(a_i).$$

Some  $a_{i_0} \neq 0$ , and  $\eta(g) = \chi(g)^{i_0} (g(a_{i_0})/a_{i_0})$ . Then because  $\chi^{i_0}$  and the action of  $g$  on  $C_K$  are continuous,  $\eta$  is continuous. Because  $\eta$  is continuous,  $\eta(G_K) \subset \mathbb{Z}_p$  - this is because the composition  $\|\cdot\| \circ \eta : G_K \rightarrow \mathbb{R}$  is continuous, and so  $\|\eta(G_K)\| \subset \mathbb{R}$  is compact.

If  $a_i \neq 0$ , then  $a_i = (\chi^i \eta^{-1})(g) g(a_i)$  for all  $g \in G_K$ , so  $a_i \in C_K(\chi^i \eta^{-1})^{G_K}$ . Therefore, by the stronger version of the Sen-Tate Theorem above,  $(\chi^i \eta)(I_K)$  is finite. Therefore, if  $a_i \neq 0 \neq a_j$ , then  $(\chi^i \eta^{-1} \chi^{-j} \eta)(I_K) = \chi^{i-j}(I_K)$  is finite, so  $i = j$ . Thus  $b = a_i T^i$  and is a unit. So  $C_K[T, 1/T]$  is  $(\mathbb{Q}_p, G_K)$ -regular.

**Example 1.8.** The ring  $B_{\text{dR}}^+$  is a complete DVR with uniformiser  $t \in B_{\text{dR}}^+$ , and  $G_K$  acts on  $t$  via the  $p$ -adic cyclotomic character.  $B_{\text{dR}}^+$  is not  $(\mathbb{Q}_p, G_K)$ -regular, because  $\mathbb{Q}_p \cdot t$  is a  $G_K$ -stable subspace, but  $t$  is not a unit of  $B_{\text{dR}}^+$ . However, the fraction field is  $B_{\text{dR}} = B_{\text{dR}}^+[1/t]$  and being a field is  $(\mathbb{Q}_p, G_K)$ -regular. There is a natural filtration on  $B_{\text{dR}}$ , with associated graded  $B_{\text{HT}}$ , and using this one can see that  $B_{\text{dR}}^{G_K} = K$  (as a consequence,  $(B_{\text{dR}}^+)^{G_K} = K$  too).

**Example 1.9.** We also will construct subrings  $B_{\text{cris}} \subset B_{\text{st}}$  of  $B_{\text{dR}}$ . These are both not fields, but will turn out to be  $(\mathbb{Q}_p, G_K)$ -regular, with invariant ring  $K_0 = W(\mathbb{F})[1/p]$ .

**Example 1.10.** How does this relate to the rings in Talk 3? Let  $E$  be a field of characteristic  $p > 0$ , and let  $E^s$  be a separable closure of  $E$ . Let  $G_E = \text{Gal}(E^s/E)$ . Then  $B = E^s$  is  $(\mathbb{F}_p, G_E)$ -admissible. The ring  $B = \widehat{\mathcal{O}_{\mathcal{E}}^{nr}}$  does not naturally fit into this framework, because even though it has an action of  $G_E$ ,  $B^{G_E} = \mathcal{O}_{\mathcal{E}}$  is not a field. However, for  $\mathcal{E}$  the fraction field of  $\mathcal{O}_{\mathcal{E}}$ ,  $B = \widehat{\mathcal{E}^{nr}}$  is  $(\mathbb{Q}_p, G_E)$ -regular, with  $B^G = \mathcal{E}$ .

## 2. $B$ -ADMISSIBLE REPRESENTATIONS

From now on, **we will assume that  $B$  is  $(F, G)$ -regular.**

**Definition 2.1.** We define a functor from the category of finite dimensional  $F$ -representations of  $G$  to  $E$ -vector spaces,

$$D_B : \text{Rep}_F(G) \rightarrow \text{Vect}_E,$$

by

$$D_B(V) := (V \otimes_F B)^G.$$

Here  $G$  acts on  $V \otimes_F B$  by  $g(b \otimes v) = gb \otimes gv$ , and  $(V \otimes_F B)^G$  is a  $E = B^G$ -vector space induced from the  $B$ -module structure of  $V \otimes_F B$  where  $b'(v \otimes b) = v \otimes b'b$ . We also have a natural map,

$$\alpha_V : D_B(V) \otimes_E B \rightarrow (V \otimes_F B) \otimes_E B = V \otimes_F (B \otimes_E B) \rightarrow V \otimes_F B.$$

With  $G$  acting on  $D_B(V) \otimes_E B$  through the second factor  $B$ , then this is a  $G$ -equivariant  $B$ -linear map.

**Example 2.2.** Suppose that  $V = F$  is the trivial representation. Then  $D_B(F) = B^G$ , and  $\alpha_V$  is the identity map.

**Example 2.3.** Suppose that  $B = F$ . Then  $D_B(V) = (V \otimes_F F)^G = V^G$  is the functor from  $\text{Rep}_F(G)$  to  $\text{Vect}_F$  taking  $V$  to its  $G$ -invariants.

At this point, it is not really obvious why  $D_B(V)$  is finite dimensional.

**Lemma 2.4.** *If  $V \in \text{Rep}_F(G)$ , then  $\alpha_V : D_B(V) \otimes_E B \rightarrow V \otimes_F B$  is ( $B$ -linear,  $G$ -equivariant, and) injective.*

*Proof.* If  $C$  is the fraction field of  $B$ , we have a commutative square:

$$\begin{array}{ccc} D_B(V) \otimes_E B & \xrightarrow{\alpha_V} & V \otimes_F B \\ \downarrow & & \downarrow \\ D_C(V) \otimes_E C & \xrightarrow{\beta_V} & V \otimes_F C \end{array}$$

Therefore it is sufficient to prove that  $\beta_V$  is injective.  $\beta_V$  is injective if and only if  $\beta_V$  maps an  $E$ -basis to a  $C$ -linearly independent set of  $V \otimes_F C$ . Therefore, it is sufficient to show that if  $\{x_1, \dots, x_m\} \subset (V \otimes_F C)^G$  are  $E$ -linearly independent, then they are  $C$ -linearly independent.

Suppose not, and take a  $C$ -linear dependence of minimal length. So for some  $r \geq 1$ ,  $x_r = \sum_{i < r} c_i x_i$ , and  $r$  is the minimal length of such a relation. Then for all  $g \in G$ ,

$$x_r = g(x_r) = \sum_{i < r} g(c_i) x_i.$$

Equivalently,

$$0 = \sum_{i < r} (g(c_i) - c_i) x_i,$$

and so by minimality,  $g(c_i) = c_i$  for all  $i$ . But then for all  $i$ ,  $c_i \in C^G = E$ , a contradiction.  $\square$

**Theorem 2.5.** *For  $V \in \text{Rep}_F(G)$ , we have the bound,*

$$\dim_E D_B(V) \leq \dim_F(V).$$

*In particular,  $D_B(V)$  is a finite dimensional  $E$ -vector space. Furthermore, for  $d = \dim_F(V)$ , the following are equivalent:*

- (1)  $\dim_E D_B(V) = \dim_F(V)$ ,
- (2)  $\alpha_V$  is an isomorphism,
- (3) There is an isomorphism  $V \otimes_F B \cong B^d$  as  $B$ -modules which is  $G$ -equivariant,
- (4) There is a  $B$ -basis of  $V \otimes_F B$  consisting of  $d$  elements of  $(V \otimes_F B)^G$ .

*Proof.* Again let  $C$  be the fraction field of  $B$ . If we tensor  $\alpha_V : D_B(V) \otimes_E B \rightarrow V \otimes_F B$  with  $C$  over  $B$ , we obtain,

$$\alpha_V \otimes_B C : D_B(V) \otimes_E C \rightarrow V \otimes_F C.$$

The  $C$ -dimension of the term of the left is  $\dim_E(D_B(V))$ , and the  $C$ -dimension of the term of the right is  $\dim_F(V)$ . Because  $\alpha_V$  is injective and  $C$  is flat over  $B$ , we see that in general  $\dim_E(D_B(V)) \leq \dim_F(V)$ . Furthermore, clearly if  $\alpha_V$  is an isomorphism (1), we have the equality (2). Now, to prove that (2)  $\Rightarrow$  (1), suppose that  $\dim_E(D_B(V)) = \dim_F(V)$ . We want to show that  $\alpha_V$  is an isomorphism.

Let  $\{e_i\}$  be an  $E$ -basis of  $D_B(V)$ , and  $\{v_j\}$  be an  $F$  basis of  $V$ . By assumption, these have the same size  $d$ , and we can express the  $B$ -linear map  $\alpha_V$  by the  $d \times d$  matrix  $(b_{ij})$ , so

$\alpha_V(e_i) = \sum_j b_{ij} \otimes v_j$ . By assumption,  $\alpha_V \otimes_B C$  is an isomorphism, so  $\det(b_{ij}) \neq 0$ , when considered an element of  $C$ .

We know that  $b := \det(b_{ij}) \in B \setminus 0$ , and want to show that  $b \in B^\times$ . To do this we use the fact that  $B$  is  $(F, G)$ -regular, so we want to show that  $F \cdot b$  is stable under the action of  $G$ .

Passing to the  $d$ th exterior power (as  $B$ -modules),

$$\wedge^d(\alpha_V)(e_1 \wedge \cdots \wedge e_d) = b(v_1 \wedge \cdots \wedge v_d)$$

Because  $\alpha_V$  is  $G$ -equivariant, and  $e_i$  are  $G$ -invariant,  $G$  acts on the left trivially, and so

$$g(b)g(v_1 \wedge \cdots \wedge v_d) = b(v_1 \wedge \cdots \wedge v_d).$$

But  $g(v_1 \wedge \cdots \wedge v_d) = \det(g)(v_1 \wedge \cdots \wedge v_d)$ , hence

$$g(b) = \det(g)^{-1}b,$$

and  $\mathbb{Q}_p \cdot b$  is stable under the action of  $G$ .

The equivalence of (3) and (4) is immediate, so we just need to show that (3) is equivalent to (1) and (2). If  $\alpha_V$  is an isomorphism (1), then because  $\dim_E(D_B(V)) = d$ , by choosing an  $E$  basis of  $D_B(V)$  we have  $B^d \cong D_B(V) \otimes_E B$ , and this is  $G$ -equivariant because the action of  $G$  on  $D_B(V)$  is trivial. Therefore, composing this with  $\alpha_V$  we have (3). Conversely, if  $B^d \cong D_B(V) \otimes_E B$ , then  $\dim_E(D_B(V)) = d$  and we have (1).  $\square$

**Definition 2.6.** We call  $V \in \text{Rep}_F(G)$   $B$ -admissible if  $\dim_E D_B(V) = \dim_F(D_B(V))$ . We write  $\text{Rep}_F^B(G)$  for the full-subcategory of finite dimensional  $B$ -admissible  $F$ -representations of  $G$ . If  $(F, G) = (\mathbb{Q}_p, G_K)$ , and  $B$  is one of  $B_{\text{HT}}$ ,  $B_{\text{dR}}$ ,  $B_{\text{st}}$  or  $B_{\text{cris}}$ , then we call  $B$ -admissible  $p$ -adic representations Hodge-Tate, de Rham, Semistable and Crystalline respectively.

For the next example, we need a couple of definitions. Let  $R$  be a commutative topological ring, and  $\Gamma$  a topological group, such that  $\Gamma$  acts on  $R$  continuously. Then a  $R$ -representation [3, Def. 2.2] is an  $R$ -module of finite type equipped with a continuous semi-linear action of  $\Gamma$ . This is called free of rank  $d$  if the underlying  $R$ -module is. This is called *trivial* if it has a basis consisting of  $\Gamma$ -invariant elements. For a fixed  $d \geq 1$ , there is a one-to-one correspondence between free  $R$ -representations of rank  $d$  and elements of  $H_{\text{cts}}^1(\Gamma, \text{GL}_d(R))$ . Furthermore, a free  $R$ -representation  $X$  is trivial if and only if it corresponds to the trivial element of  $H_{\text{cts}}^1(\Gamma, \text{GL}_d(R))$  [3, Prop. 2.6].

**Example 2.7.**  $(F, G) = (\mathbb{Q}_p, G_K)$ ,  $B = \overline{K}$ . Then we claim that  $V$  is  $\overline{K}$ -admissible if and only if the action of  $G_K$  on  $V$  factors through some finite quotient. This property is called being *potentially trivial*, and is the same as  $V$  being smooth as a  $G_K$ -representation, or that the action of  $G_K$  is discrete: continuous when  $V$  is given the discrete topology.

To see why, suppose that the action of  $G_K$  on  $V$  is potentially trivial, so there is an open subgroup of  $G_K$  which acts trivially on  $V$ . Let  $X = V \otimes_{\mathbb{Q}_p} \overline{K}$ . The action of  $G_K$  on  $X$  by  $g(v \otimes \lambda) = g(v) \otimes g(\lambda)$  also factors through some open subgroup, because this is true for the action of  $G_K$  on  $\overline{K}$ . Let  $d = \dim_{\mathbb{Q}_p} V = \dim_{\overline{K}} X$ , and fix the discrete topology on  $\overline{K}$ . Then  $X$  is a free rank  $d$   $\overline{K}$ -representation of  $G_K$ .

By a strong version of Hilbert's Theorem 90, we have that for all  $d \geq 1$ ,

$$H_{\text{cts}}^1(G_K, \text{GL}_d(\overline{K})) = 0.$$

Therefore, by the above discussion,  $X = (V \otimes_{\mathbb{Q}_p} \overline{K})$  has a  $\overline{K}$ -basis of  $G_K$ -invariant elements. But this means that  $V$  is  $\overline{K}$ -admissible by Theorem 2.5.

Showing the converse is easier: suppose that  $(V, \rho)$  is  $\overline{K}$ -admissible and choose a basis of  $G_K$ -invariant elements  $\{e_1, \dots, e_d\}$ . We want to show that the stabiliser of any element  $x = \sum_i \lambda_i e_i$  is an open subgroup. For any  $g \in G_K$ ,  $g(x) = \sum_i g(\lambda_i) e_i$ , and so

$$(G_K)_x = \cap_i (G_K)_{\lambda_i},$$

is an open subgroup of  $G_K$ . But then for a  $\mathbb{Q}_p$ -basis  $\{v_1, \dots, v_d\}$  of  $V$ , letting  $x = v_i \otimes 1$  in turn, we see that  $\ker(\rho) = \cap_i (G_K)_{v_i \otimes 1}$  is open.

**Example 2.8.** Let  $P := \widehat{K^{\text{nr}}}$ . We have  $\text{Gal}(\overline{P}/P) = I_K$ . Let  $(F, G) = (\mathbb{Q}_p, G_K)$ ,  $B = \overline{P}$ . Then a  $p$ -adic representation  $V$  of  $G_K$  is  $\overline{P}$ -admissible if and only if the action of  $I_K$  is discrete [3, Prop. 3.53]. This property is called being *potentially unramified*.

We have that  $\overline{K} \subset \overline{P} \subset C_K$ . In fact the  $C_K$ -admissible representations are the same as the  $\overline{P}$ -admissible representations:

**Example 2.9.**  $(F, G) = (\mathbb{Q}_p, G_K)$ ,  $B = C_K$ . Then a  $p$ -adic representation  $V$  is  $C_K$ -admissible if and only if the action of  $I_K$  factors through some finite quotient. Then a  $p$ -adic representation  $V$  of  $G_K$  is  $\overline{P}$ -admissible if and only if the action of  $I_K$  is discrete [3, Prop. 3.55].

Concretely, if  $(\rho, V)$  is a  $p$ -adic representation of  $G_K$ , then

- $V$  is  $\overline{K}$ -admissible iff  $\ker(\rho) \leq G_K$  is open,
- $V$  is  $\overline{P}$ -admissible iff  $V$  is  $C_K$ -admissible iff  $\ker(\rho) \cap I_K \leq I_K$  is open (in  $I_K$ ).

**Example 2.10.** In talk 5 we shall see an equivalent definition of a Hodge-Tate representation, not in terms of the period ring.

**Example 2.11.** In talk 3,  $B = E^s$  is a  $(\mathbb{F}_p, G_E)$ -regular ring. Then the  $E^s$ -admissible  $\mathbb{F}_p$ -representations of  $G_E$  are exactly the continuous representations (where  $G_E$  has the Krull topology and  $V$  the discrete topology). The reasoning is analogous to that of Example 2.7.

$D_{E^s}$  as it stands is not an equivalence of categories - this functor is essentially surjective and faithful, but not full. In talk 3, this is modified to an equivalence of categories, by mapping to finite-dimensional  $K$ -vector spaces equipped with an injective (Frobenius) semi-linear  $\phi$ . We have the Frobenius  $E^s \rightarrow E^s$ , and for any  $V \in \text{Rep}_{\mathbb{F}_p}(V)$  we define  $\phi$  on  $D_{E^s}(V) = (E^s \otimes_{\mathbb{F}_p} V)^{G_E}$  by restricting  $\phi : E^s \otimes_{\mathbb{F}_p} V \rightarrow E^s \otimes_{\mathbb{F}_p} V$ ,

$$\phi(x \otimes v) = x^p \otimes v,$$

to  $D_{E^s}(V) = (E^s \otimes_{\mathbb{F}_p} V)^{G_E}$ .

### 3. PROPERTIES OF $D_B$

Now we summarise the main properties of the functor  $D_B$ .

**Theorem 3.1.** *The restriction of the functor  $D_B$  to the full subcategory  $\text{Rep}_F^B(G)$ ,*

$$D_B : \text{Rep}_F^B(G) \rightarrow \text{Vect}_E,$$

*is exact and faithful.  $\text{Rep}_F^B(G)$  is closed under sub-representations and quotients. Furthermore,*

- *If  $V_1, V_2 \in \text{Rep}_F^B(G)$ , then there is a natural isomorphism,*

$$D_B(V_1) \otimes_E D_B(V_2) \rightarrow D_B(V_1 \otimes_F V_2),$$

*and so  $V_1 \otimes_F V_2 \in \text{Rep}_F^B(G)$ .*

- *If  $V \in \text{Rep}_F^B(G)$ , then  $V^* \in \text{Rep}_F^B(G)$ , and the natural map,*

$$D_B(V) \otimes_E D_B(V^*) \xrightarrow{\sim} D_B(V \otimes_F V^*) \rightarrow D_B(F) \cong E,$$

*is a perfect pairing.*

- *$\text{Rep}_F^B(G)$  also is closed under symmetric and exterior powers, and  $D_B$  commutes with these constructions.*

*Proof.* We prove that  $D_B$  is exact, fully faithful and closed under subquotients. The rest can be found in [1, Part I, Section 5].

Both faithfulness and exactness come down to the fact that  $B$  is an algebra over both  $F$  and  $E = B^G$ , and therefore is faithfully flat over  $F$  and  $E$ . Recall that for a ring  $R$  and module  $M$ ,  $M$  is a faithfully flat  $R$ -module iff either of the following equivalent conditions hold:

- $M$  is flat and for any  $R$ -linear  $f : N_1 \rightarrow N_2$ , then  $f$  is non-zero if and only if  $f \otimes 1 : M_1 \otimes_R M \rightarrow M_2 \otimes_R M$  is non-zero.
- For any sequence  $N_1 \rightarrow N_2 \rightarrow N_3$ , this is exact at  $N_2$  if and only if  $N_1 \otimes_R M \rightarrow N_2 \otimes_R M \rightarrow N_3 \otimes_R M$  is exact at  $N_2 \otimes_R M$ .

**Faithful:** Suppose that  $f : V \rightarrow W$  is a morphism  $F[G]$ -modules. Then because  $B$  is faithfully flat over  $E$ ,  $D_B(f) = f \otimes 1 : D_B(V) \rightarrow D_B(W)$  is zero if and only if

$$(f \otimes 1) \otimes 1 : D_B(V) \otimes_E B \rightarrow D_B(W) \otimes_E B,$$

is zero. But because  $V$  and  $W$  are  $B$ -admissible,  $\alpha_V, \alpha_W$  are isomorphisms in the commutative diagram,

$$\begin{array}{ccc} D_B(V) \otimes_E B & \xrightarrow{(f \otimes 1) \otimes 1} & D_B(W) \otimes_E B \\ \alpha_V \downarrow & & \downarrow \alpha_W \\ V \otimes_F B & \xrightarrow{f \otimes 1} & W \otimes_F B \end{array}$$

and because  $B$  is faithfully flat over  $F$ ,  $f \otimes 1$  is zero if and only if  $f : V \rightarrow W$  is zero.

**Exact:** Let

$$0 \rightarrow U \rightarrow V \rightarrow W \rightarrow 0,$$

be a short exact sequence in  $\text{Rep}_F^B(G)$ . Then

$$0 \rightarrow U \otimes_F B \rightarrow V \otimes_F B \rightarrow W \otimes_F B \rightarrow 0,$$

is an exact sequence of  $B$ -modules, and so because  $U, V$  and  $W$  are  $B$ -admissible,

$$0 \rightarrow D_B(U) \otimes_E B \rightarrow D_B(V) \otimes_E B \rightarrow D_B(W) \otimes_E B \rightarrow 0,$$

is exact. But then  $B$  is faithfully flat over  $E$ , hence

$$0 \rightarrow D_B(U) \rightarrow D_B(V) \rightarrow D_B(W) \rightarrow 0,$$

is exact.

**Closed under subquotients:** Consider a short exact sequence of  $F[G]$ -modules,

$$0 \rightarrow U \rightarrow V \rightarrow W \rightarrow 0,$$

where  $V$  is  $B$ -admissible. By definition  $D_B$  is left exact, so we also have the exact sequence of  $E$ -modules,

$$0 \rightarrow D_B(U) \rightarrow D_B(V) \rightarrow D_B(W)$$

Therefore,

$$\dim_E D_B(V) \leq \dim_E D_B(U) + \dim_E D_B(W) \leq \dim_F(U) + \dim_F(W) = \dim_F(V).$$

But then because  $V$  is  $B$ -admissible, these are all equalities, hence  $U$  and  $W$  are  $B$ -admissible.  $\square$

*Remark.*  $\text{Rep}_F^B(G)$  need not be closed under extensions. For example, if  $B = B_{\text{HT}}$ , then [4, Example 1.1.12] exhibits a 2-dimensional representation  $V$  which is not Hodge-Tate but fits into an exact sequence,

$$0 \rightarrow \mathbb{Q}_p \rightarrow V \rightarrow \mathbb{Q}_p \rightarrow 0.$$

Explicitly, we have  $\log_p : \mathbb{Z}_p^\times \rightarrow \mathbb{Q}_p$ , defined by the usual power series on  $1 + p\mathbb{Z}_p$ , and on  $\mathbb{Z}_p^\times = \mu_{p-1} \times (1 + p\mathbb{Z}_p)$  by  $\log_p(\zeta(1+x)) = \log_p(1+x)$ . Then the action of  $g \in G_K$  on  $V$  is by,

$$\begin{pmatrix} 1 & \log_p(\chi(g)) \\ 0 & 1 \end{pmatrix}$$

However, one can show that for any  $p$ -adic representation  $W$  which fits into an exact sequence,

$$0 \rightarrow \mathbb{Q}_p(m) \rightarrow W \rightarrow \mathbb{Q}_p(n) \rightarrow 0,$$

is Hodge-Tate whenever  $m \neq n$ . In the above,  $\mathbb{Q}_p = \mathbb{Q}_p(0)$ .

4. IMAGE CATEGORIES

Recall that we have  $B_{\text{cris}} \subset B_{\text{st}} \subset B_{\text{dR}}$ , and  $B_{\text{HT}}$ . For each of these period rings  $B$ ,  $E = B^{G_K}$  is  $K_0, K_0, K$  and  $K$  respectively.

$D_{B_{\text{dR}}}$  can be modified using the filtration on  $B_{\text{dR}}$  to give an exact faithful functor to  $\text{Fil}_K$ , the category of filtered  $K$ -vector spaces. However, this functor is not fully faithful.

$D_{B_{\text{cris}}}$ , naturally takes values in  $\text{MF}_K^\phi$ , the category of filtered  $\phi$ -modules over  $K$  (see Definition 7.3.4 [1]).

$D_{\text{st}}$  takes values in  $\text{MF}_K^{\phi, N}$ , the category of filtered  $(\phi, N)$ -modules. There is a notion of a *weakly admissible* object of  $\text{MF}_K^{\phi, N}$ , the full subcategory these define is denoted  $\text{MF}_K^{\phi, N, w.a.}$ . One can show that any semistable representation is weakly admissible. It is a deep and recent result of Fontaine and Colmez [2] that

$$D_{\text{st}} : \text{Rep}_{\mathbb{Q}_p}^{\text{st}}(G_K) \rightarrow \text{MF}_K^{\phi, N, w.a.},$$

is an equivalence of categories. One can then pass to objects with *vanishing monodromy* ( $N = 0$ ), to restrict this to an equivalence of categories,

$$D_{\text{cris}} : \text{Rep}_{\mathbb{Q}_p}^{\text{cris}}(G_K) \rightarrow \text{MF}_K^{\phi, w.a.}.$$

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