

Advanced Philosophy of Physics: The Aharonov–Bohm Effect

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HT25-W3

The plan

W1: The philosophy of symmetries

W2: The hole argument

W3: The Aharanov–Bohm effect

W4: The local validity of special relativity

Today

Gauge symmetries

Locality, separability, and determinism

Formulations of electromagnetism

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Verdicts on the effect

Wrapping up

Gauge theories

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- ▶ Today, we're going to be thinking about some puzzling features of electromagnetism.
- ▶ These will have to do with the fact that it is a *gauge theory*.
- ▶ But what is a gauge theory? Here the puzzles already begin...

Puzzles over gauge

The word “gauge” is ubiquitous in modern physics. Our best physical theories are described, in various contexts, as “gauge theories.” The “gauge argument” allegedly reveals the underlying “logic of nature” (Martin 2002). Our theories regularly exhibit “gauge freedom,” “gauge structure,” and “gauge dependence.” Unfortunately, however, it is far from clear that the term has some univocal meaning across the many contexts in which it appears. It is a bit like “liberal” in American political discourse: it shows up everywhere, and no one knows what it means. (Weatherall 2016, p. 1039)

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Electromagnetism can be understood a gauge theory in all of these senses—but actually, the notions can come apart!

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Locality, separability, and determinism

The next thing to get clear on are three key properties of physical theories:

1. Locality
2. Separability
3. Determinism

Locality

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Is locality the conjunction of these? Or some subset or other?

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- ▶ One thing which locality could mean is this: *no action at a distance*.
- ▶ If a physical theory allows one system to directly change, influence, alter, or otherwise interact with another system at a remote location, unmediated by some material connection, this is action-at-a-distance.

Two senses of 'at-a-distance'

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Einstein locality implies Bell locality but not the other way around. (See Redhead (1987) for more.)

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For more on separability, see e.g. (Healey & Gomes 2022).

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- ▶ This is what's sometimes called 'Laplacian determinism'.
- ▶ There is actually a vast literature on different possible definitions of determinism—Earman (1986) is the *locus classicus*.

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Firstly, it seems that the gauge transformations of electrodynamics relate empirically equivalent yet physically distinct states of affairs, implying an underdetermination of empirical facts by the theory's dynamics. Secondly, the fact that gauge symmetries are local means that one can construct analogues of the infamous Hole Argument: transformations that act trivially before some time t , but non-trivially thereafter. This implies a failure of indeterminism. (Jacobs 2023, p. 34)

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I'll go through each of these in turn now. Before I get there, though, a brief general EM recap... (Material which could be found in e.g. (Jackson 1998).)

Maxwell's equations in 3-vector formulation

$$\nabla \cdot \mathbf{E} = \rho$$

$$\nabla \cdot \mathbf{B} = 0$$

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$$

$$\nabla \times \mathbf{B} = \mathbf{J} + \frac{\partial \mathbf{E}}{\partial t}$$

Maxwell's equations in Faraday tensor formulation

$$F^{\mu\nu} = \begin{pmatrix} 0 & -E_1/c & -E_2/c & -E_3/c \\ E_1/c & 0 & -B_3 & B_2 \\ E_2/c & B_3 & 0 & -B_1 \\ E_3/c & -B_2 & B_1 & 0 \end{pmatrix},$$
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Then Maxwell's equations can be written:

$$\eta_{\mu\lambda} \partial^\lambda F^{\mu\nu} = J^\nu,$$
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Or in differential forms:

$$d * F = J,$$
$$dF = 0.$$

Introducing the vector potential

One can define—and, indeed, it turns out to be useful for a lot of physics of EM to do so—a vector field $A^\mu = (\phi, \mathbf{A})$, the ‘electromagnetic vector potential’, as

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$$\mathbf{E} = -\nabla\phi - \frac{\partial\mathbf{A}}{\partial t},$$
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But there is some representational/gauge redundancy, because if we take

$$A^\mu \rightarrow A^\mu + \partial^\mu \Lambda,$$

this will yield the same electric and magnetic fields!

Maxwell's equations in vector potential formulation

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(The latter, recall from Week 1, is a mathematical identity—the twice exterior derivative necessarily vanishes.)

Turning to the formulations

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This approach is:

- ▶ Local
- ▶ Separable
- ▶ Indeterministic (can generate a version of the hole argument with it; recall the Jacobs quote from before).

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This approach is:

- ▶ Non-local (for reasons to do with the AB effect, to be discussed in a minute)
- ▶ Separable
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- ▶ In holonomy-based electromagnetism, there is a fundamental non-localised property $H(l)$ associated to every closed curve l in spacetime.

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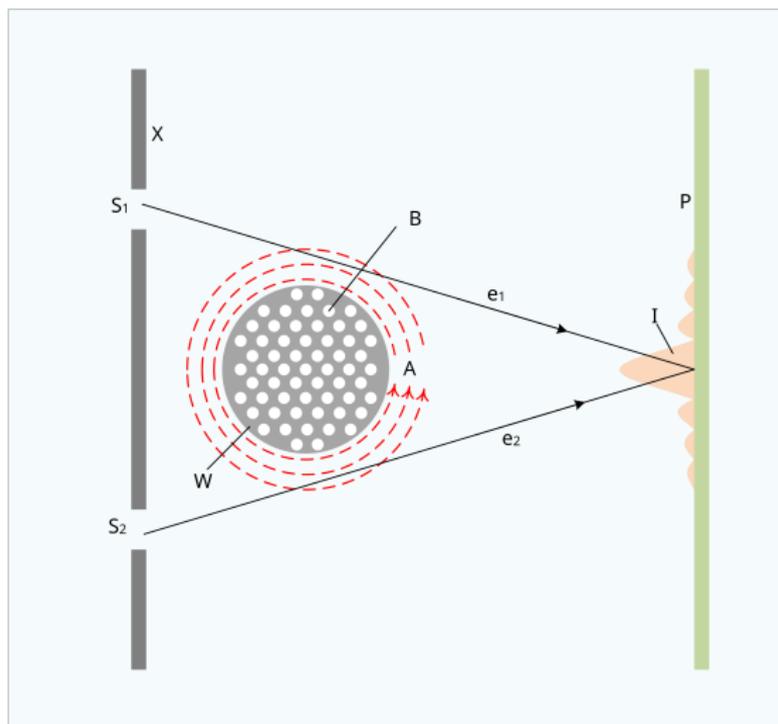
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The Aharanov–Bohm effect summarised

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- ▶ This is the case despite the fact that the electromagnetic field vanishes outside the solenoid!
- ▶ Hence, we cannot simply understand the effect as a result of the force field acting locally on the matter field.
- ▶ This led Aharonov and Bohm (1959) to posit the vector potential A^a —which *doesn't* vanish outside the solenoid—as causally responsible for the effect, despite the fact that it is usually considered to be ‘gauge’!

Background on the AB effect

- ▶ The time-dependent Schrödinger equation for a single particle of mass m and charge q immersed in an electromagnetic field is

$$i\hbar \frac{\partial \psi(\mathbf{x}, t)}{\partial t} = \left[\frac{1}{2m} (-i\hbar \nabla - q\mathbf{A}(\mathbf{x}, t))^2 + q\phi(\mathbf{x}, t) \right] \psi(\mathbf{x}, t).$$

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- ▶ This equation is invariant under the gauge transformations:

$$A_\mu = (\phi, \mathbf{A}) \rightarrow A_\mu + \frac{1}{q} \partial_\mu \alpha(x),$$
$$\psi \rightarrow e^{iq\alpha(x)} \psi,$$

where $\alpha(x)$ is a function of the spacetime coordinates x .

The question

- ▶ For the AB effect, we consider the field

$$\psi(\mathbf{x}) = \psi_I(\mathbf{x}) + \psi_{II}(\mathbf{x}),$$

where ψ_I and ψ_{II} are the components of the field that pass through the left and right slit, respectively.

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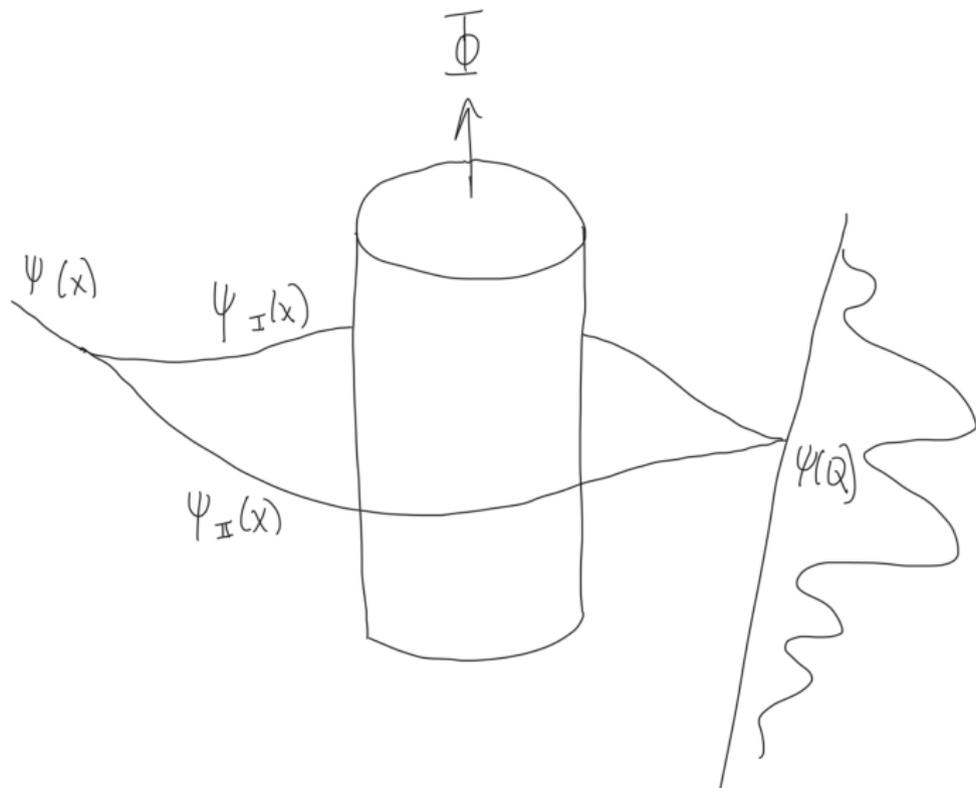
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- ▶ Let Q denote an arbitrary point on the screen. What happens to $\psi(Q)$ when we turn on the solenoid?

The Aharonov–Bohm effect



Mathematical warmup

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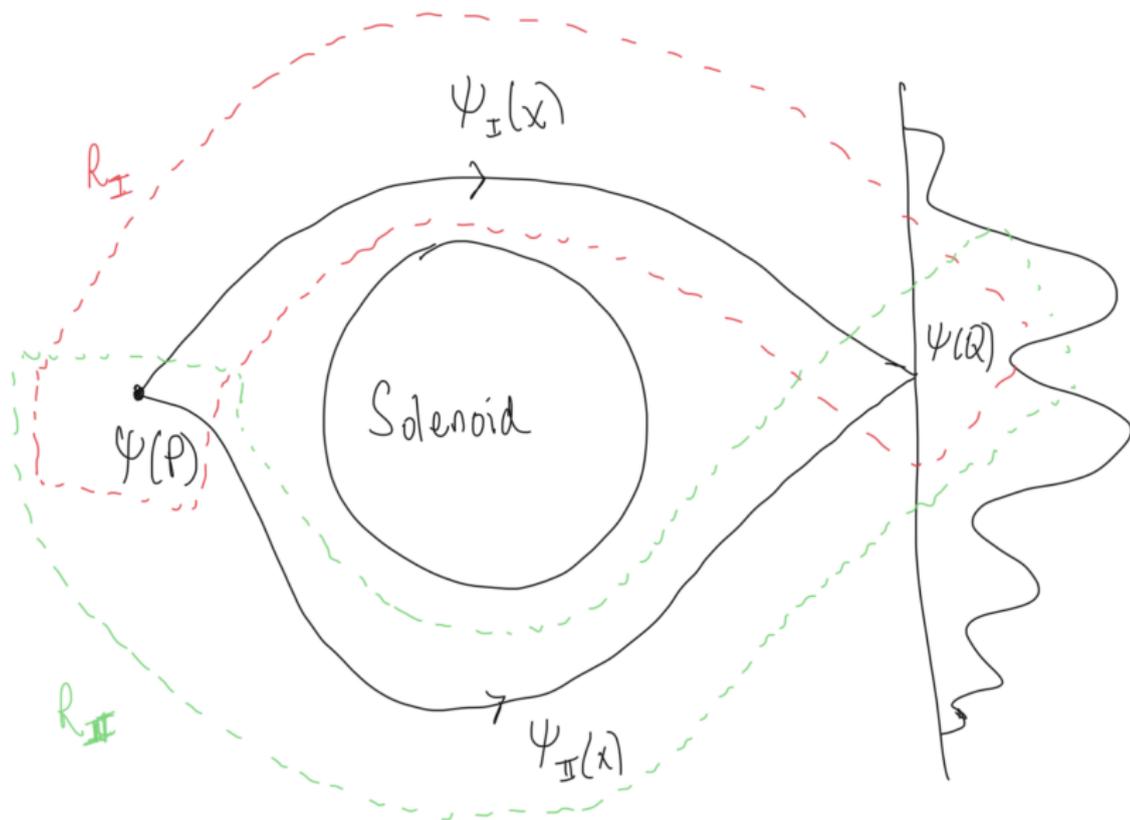
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- ▶ But given the gauge freedom $\mathbf{A} \rightarrow \mathbf{A} + \nabla \kappa$, it is clear that f is defined only up to a constant additive factor.
- ▶ Given this, for any given origin point $P \in R$, we can always set f such that $f(P) = 0$; let us do so.

The Aharonov–Bohm effect



Mathematical warmup continued

- ▶ Consider now the line integral $\int_P^Q \mathbf{A} \cdot d\mathbf{x}$, defined in relation to some curve joining P and Q in region R .

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- ▶ Thus, the line integral is path-independent, and for fixed P can be taken to be a function $f(Q)$.
- ▶ Under gauge transformations, then, the wavefunction transforms as

$$\begin{aligned} \psi(Q) &\rightarrow \psi'(Q) = \exp(iqf(Q)/\hbar) \psi \\ &= \exp\left(\frac{iq}{\hbar} \int_P^Q \mathbf{A} \cdot d\mathbf{x}\right) \psi(Q). \end{aligned}$$

The AB effect proper

- ▶ Suppose first that there is zero current in the solenoid. We can write the value of the wavefunction of each electron at point Q on the screen as

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- ▶ We have an analogous equation for region II, so overall we have

$$\psi(Q) = \exp\left(\frac{ie}{\hbar} \int_P^Q \mathbf{A} \cdot d\mathbf{x}_I\right) \psi_I^0(Q) + \exp\left(\frac{ie}{\hbar} \int_P^Q \mathbf{A} \cdot d\mathbf{x}_{II}\right) \psi_{II}^0(Q).$$

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$$\begin{aligned} -\int_P^Q \mathbf{A} \cdot d\mathbf{x}_I + \int_P^Q \mathbf{A} \cdot d\mathbf{x}_{II} &= \int_Q^P \mathbf{A} \cdot d\mathbf{x}_I + \int_P^Q \mathbf{A} \cdot d\mathbf{x}_{II} \\ &= \oint \mathbf{A} \cdot d\mathbf{x}. \end{aligned}$$

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- ▶ This loop integral involves both slits I and II, and can be computed via Stokes' theorem and shown to be equal to the gauge-independent magnetic flux Φ :

$$\oint \mathbf{A} \cdot d\mathbf{x} = \iint (\nabla \times \mathbf{A}) \cdot \mathbf{ndS} = \iint \mathbf{B} \cdot \mathbf{ndS} = \Phi.$$

The AB effect: arriving at the result

- ▶ Putting this all together, we have:

$$\begin{aligned}\psi(Q) &= e^{i\alpha} \left[\psi_I^0(Q) + \exp\left(\frac{ie}{\hbar} \oint \mathbf{A} \cdot d\mathbf{x}\right) \psi_{II}^0(Q) \right] \\ &= e^{i\alpha} \left[\psi_I^0(Q) + \exp\left(\frac{ie\Phi}{\hbar}\right) \psi_{II}^0(Q) \right],\end{aligned}$$

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where $\alpha := \int_P^Q \mathbf{A} \cdot d\mathbf{x}_I$.

- ▶ The total phase factor $e^{i\alpha}$ of course has no effect on the probability density $|\psi(Q)|^2$, but because of the *relative* phase factor $\exp(ie\Phi/\hbar)$, the interference term contributing to that of $|\psi(Q)|^2$ is not equal to that of $|\psi^0(Q)|^2$.

The AB effect: arriving at the result

- Specifically, writing the wavefunctions in the polar form $\psi_I^0 = R_I^0 \exp(iS_I/\hbar)$, etc., we have at the point Q

$$|\psi|^2 = (R_I^0)^2 + (R_{II}^0)^2 + 2R_I^0 R_{II}^0 \cos(\theta + \delta),$$

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- ▶ The effect of turning on the magnetic flux is not to shift the diffraction envelope, but the position of the interference fringes within it.

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- ▶ But, of course, reifying A^a invites underdetermination and indeterminism! (Maudlin 1998)
- ▶ Is there another way out?

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 - ▶ Gauge-related models of electromagnetism are *not* isomorphic.
 - ▶ So some more involved interpretational strategy is going to be needed to (a) overcome the indeterminism, while (b) giving a local narrative about the AB effect.

Today

Gauge symmetries

Locality, separability, and determinism

Formulations of electromagnetism

The Aharanov–Bohm effect

Verdicts on the effect

Wrapping up

Verdicts on the effect

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After doing this, I'll present the 'field monism' approach of Wallace (2014).

A-field electromagnetism

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- ▶ It offers an ontology which is local (because A^a doesn't vanish even outside of the solenoid) and separable, but which is radically indeterministic.

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 - ▶ Problems with quantisation etc.

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However, the holonomy approach has problems:

1. No dynamics.
2. Non-separability.
3. Cosmic coincidences.

Problem 1: no dynamics

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- ▶ Nobody has yet succeeded in an *intrinsic* formulation of e.g. the Lagrangian directly in terms of only holonomies.

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- ▶ The holonomy interpretation is non-separable: the intrinsic facts about a region X and about another region Y don't determine all intrinsic facts about the joint region $X \cup Y$.

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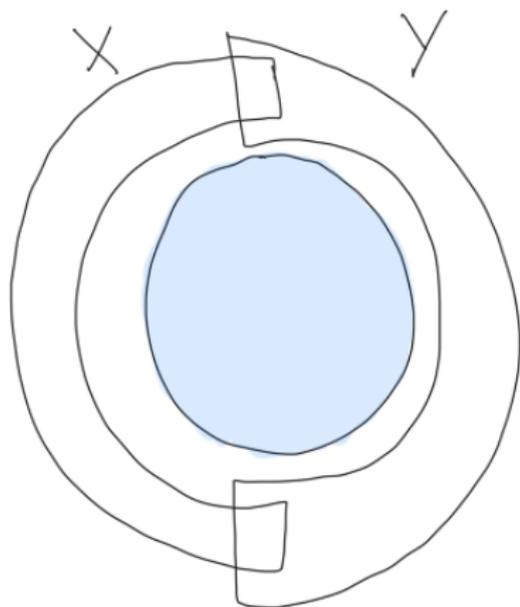
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 - ▶ Dougherty (2017) argues that an version of the holonomy interpretation is separable.

Problem 2: non-separability



Problem 3: cosmic coincidences

- ▶ Holonomies in the holonomy interpretation must satisfy

$$H(l_1 \circ l_2) = H(l_1) H(l_2), \quad (*)$$

where $l_1 \circ l_2$ denotes the concatenation of two loops, i.e., the result of first going around l_1 and then going around l_2 .

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- ▶ Arntzenius (2012, p. 195): “a fairly obvious explanation of why [this] hold[s] is that the map H is, roughly speaking, the integration of a connection around a loop”.
- ▶ But of course, one can't say this if one takes holonomies to be the primitives in one's theory.

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- ▶ As an illustration of Arntzenius' point: note that if we define holonomies in terms of loop integrals of vector potentials as before, then:

$$\begin{aligned} H(l_1)H(l_2) &= \exp\left(-iq \oint_{l_1} A_\mu dx^\mu\right) \cdot \exp\left(-iq \oint_{l_2} A_\mu dx^\mu\right) \\ &= \exp\left(-iq \oint_{l_1 \circ l_2} A_\mu dx^\mu\right) \\ &= H(l_1 \circ l_2). \end{aligned}$$

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- ▶ Hence we can explain (*) if we posit the existence of a local four-potential, but it is a 'cosmic coincidence' on the holonomy interpretation.

Summarising so far...

Summarising so far, we have the following table of verdicts for our three different approaches to electromagnetism:

	A-field	F-field	Holonomy
Local	Y	N	Y
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Next, I want to turn to turn to a different approach: Wallace's 'field monism'.

Introducing field monism

- ▶ 'Field monism' isn't an interpretation of *pure* EM, but an interpretation of EM *coupled to the wavefunction* ψ (which of course is the case under consideration in the AB effect anyway).

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- ▶ The joint distribution of these fields uniquely corresponds to equivalence classes of gauge-related models of electromagnetism.
- ▶ Wallace (2014) argues that field monism is local, separable, and deterministic!

First worry: lack of generality

But the main issue with field monism is that it does not easily extend to more complex gauge theories. Wallace [...] admits that this is a problem, writing that “in general, I know of no comparably simple set of local gauge-invariant quantities in the non-Abelian case that can serve as a gauge-invariant representation”. This suggests that it is no more than a fortunate accident that we can represent the simple $U(1)$ gauge theory Wallace considers in terms of a unique set of gauge-invariant local quantities. (Jacobs 2023, p. 37)

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- ▶ Consider a pair of complex-valued fields ψ_1 and ψ_2 with different charges, both of which are coupled to A^a .
- ▶ Here, there are multiple possible gauge-invariant 'field monist' ontologies, depending upon how we combine the relevant fields.

Jacobs on the second worry

Therefore, on one way of understanding Wallace's observation with respect to the unitary gauge, it implies a form of theoretical underdetermination: the choice between these two ontologies is arbitrary. This is hardly better than the underdetermination of theory by the empirical data implied by the existence of gauge symmetries. Therefore, in these more complex scenarios Wallace's account for finding a gauge-invariant representation is inadequate. (Jacobs 2023, p. 37)

Today

Gauge symmetries

Locality, separability, and determinism

Formulations of electromagnetism

The Aharanov–Bohm effect

Verdicts on the effect

Wrapping up

Summary so far

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- ▶ Are we at an an impasse, then?

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Despite its being by now an 'old chestnut', there remains much interesting to be said about the AB effect!

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