

IPP-QM-12: The PBR theorem

James Read¹

¹Faculty of Philosophy, University of Oxford, UK, OX2 6GG

MT24

The course

1. Basic quantum formalism
2. Density operators and entanglement
3. Decoherence
4. The measurement problem
5. Dynamical collapse theories
6. Bohmian mechanics
7. Everettian structure
8. Everettian probability
9. EPR and Bell's theorem
10. The Bell-CHSH inequalities and possible responses
11. Contextuality
12. The PBR theorem
13. Quantum logic
14. Pragmatism and QBism
15. Relational quantum mechanics
16. Wavefunction realism

Today

The ontological models framework

Introducing Ψ -epistemic approaches

The PBR theorem

Hardy's ontic state indifference theorem

The BCLM inequality

Whither Ψ -epistemic?

Today

The ontological models framework

Introducing Ψ -epistemic approaches

The PBR theorem

Hardy's ontic state indifference theorem

The BCLM inequality

Whither Ψ -epistemic?

The ontological models framework

We're going to be working in the 'ontological models' framework, which uses the following notation:

The ontological models framework

We're going to be working in the 'ontological models' framework, which uses the following notation:

- ▶ Ontic state λ : this represents *all* of the physical degrees of freedom (including hidden variables if they exist) in the system under consideration.

The ontological models framework

We're going to be working in the 'ontological models' framework, which uses the following notation:

- ▶ Ontic state λ : this represents *all* of the physical degrees of freedom (including hidden variables if they exist) in the system under consideration.
- ▶ Quantum state ψ .

The ontological models framework

We're going to be working in the 'ontological models' framework, which uses the following notation:

- ▶ Ontic state λ : this represents *all* of the physical degrees of freedom (including hidden variables if they exist) in the system under consideration.
- ▶ Quantum state ψ .
- ▶ Probability distribution $\mu_P(\lambda)$ over ontic states given that the system is prepared according to P .

The ontological models framework

We're going to be working in the 'ontological models' framework, which uses the following notation:

- ▶ Ontic state λ : this represents *all* of the physical degrees of freedom (including hidden variables if they exist) in the system under consideration.
- ▶ Quantum state ψ .
- ▶ Probability distribution $\mu_P(\lambda)$ over ontic states given that the system is prepared according to P .
- ▶ (In many cases of interest to us, P is just the quantum state, so we'll be interested in $\mu_\psi(\lambda)$, which is a probability distribution over ontic states given some quantum state.)

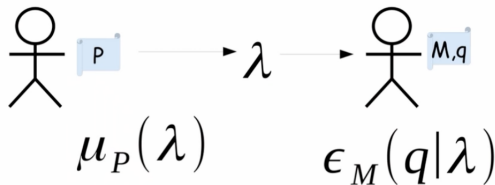
The ontological models framework

We're going to be working in the 'ontological models' framework, which uses the following notation:

- ▶ Ontic state λ : this represents *all* of the physical degrees of freedom (including hidden variables if they exist) in the system under consideration.
- ▶ Quantum state ψ .
- ▶ Probability distribution $\mu_P(\lambda)$ over ontic states given that the system is prepared according to P .
- ▶ (In many cases of interest to us, P is just the quantum state, so we'll be interested in $\mu_\psi(\lambda)$, which is a probability distribution over ontic states given some quantum state.)
- ▶ Probability distribution $\epsilon_M(q|\lambda)$, which is the probability distribution of getting some outcome q in measurement M given that the ontic state is λ .

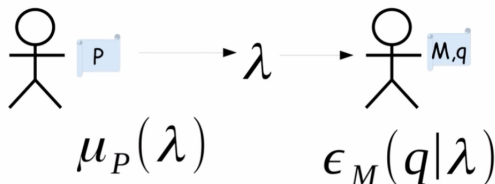
The ontological models framework

So what we have, in a nutshell, is this:



The ontological models framework

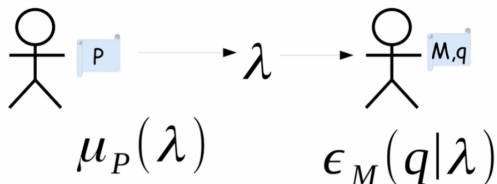
So what we have, in a nutshell, is this:



- The preparation P gives some probability distribution $\mu_P(\lambda)$ over ontic states λ .

The ontological models framework

So what we have, in a nutshell, is this:



- ▶ The preparation P gives some probability distribution $\mu_P(\lambda)$ over ontic states λ .
- ▶ For each of these ontic states, there is some probability distribution $\epsilon_M(q|\lambda)$ over outcomes q in measurement M .

Ontological models and operational models

- ▶ When we're talking about ontic states λ (which might just be the quantum state, if there are in fact no hidden variables!), we're thinking about *ontological models*.

Ontological models and operational models

- ▶ When we're talking about ontic states λ (which might just be the quantum state, if there are in fact no hidden variables!), we're thinking about *ontological models*.
- ▶ We can connect this up with purely *operational models* by summing over ontic states:

$$F(q|M, P) = \sum_{\lambda} \mu_P(\lambda) \epsilon_M(q|\lambda).$$

Ontological models and operational models

- ▶ When we're talking about ontic states λ (which might just be the quantum state, if there are in fact no hidden variables!), we're thinking about *ontological models*.
- ▶ We can connect this up with purely *operational models* by summing over ontic states:

$$F(q|M, P) = \sum_{\lambda} \mu_P(\lambda) \epsilon_M(q|\lambda).$$

- ▶ The LHS is a purely operational thing; it has been purged of any mention of ontic states!

Ontological models and operational models

- ▶ When we're talking about ontic states λ (which might just be the quantum state, if there are in fact no hidden variables!), we're thinking about *ontological models*.
- ▶ We can connect this up with purely *operational models* by summing over ontic states:

$$F(q|M, P) = \sum_{\lambda} \mu_P(\lambda) \epsilon_M(q|\lambda).$$

- ▶ The LHS is a purely operational thing; it has been purged of any mention of ontic states!
- ▶ In standard quantum theory (where the preparation *just is* the initial quantum state), we of course have

$$F(q|M, P) = |\langle q|P\rangle|^2,$$

i.e. the Born rule.

The inevitability of ontological models

- ▶ A naïve instrumentalist might ask: why bother with all these λ ? Why think that these ‘unobservable’ (careful!) ontic states really exist?

The inevitability of ontological models

- ▶ A naïve instrumentalist might ask: why bother with all these λ ? Why think that these ‘unobservable’ (careful!) ontic states really exist?
- ▶ Provably, for any operational model $F(q|M, P)$, there is some ontological model compatible with it. (Basically just interpolation.)

The inevitability of ontological models

- ▶ A naïve instrumentalist might ask: why bother with all these λ ? Why think that these ‘unobservable’ (careful!) ontic states really exist?
- ▶ Provably, for any operational model $F(q|M, P)$, there is some ontological model compatible with it. (Basically just interpolation.)
- ▶ Since ontological models help us to explain the operational outcomes, why not take them seriously?

Today

The ontological models framework

Introducing Ψ -epistemic approaches

The PBR theorem

Hardy's ontic state indifference theorem

The BCLM inequality

Whither Ψ -epistemic?

Ψ -epistemic approaches

- What we're going to discuss today is a version of the hidden variables strategy, but significantly different to what we've seen before (e.g., Bohmian mechanics).

Ψ -epistemic approaches

- ▶ What we're going to discuss today is a version of the hidden variables strategy, but significantly different to what we've seen before (e.g., Bohmian mechanics).
- ▶ A lot of the most intense research in quantum foundations in the last decade or so has focussed on these ' Ψ -epistemic' positions, first proposed by Harrigan & Spekkens (2010).

Ψ -epistemic approaches

- ▶ What we're going to discuss today is a version of the hidden variables strategy, but significantly different to what we've seen before (e.g., Bohmian mechanics).
- ▶ A lot of the most intense research in quantum foundations in the last decade or so has focussed on these ' Ψ -epistemic' positions, first proposed by Harrigan & Spekkens (2010).
- ▶ Roughly, according to these positions, there is *only* 'extra structure'; there is no wavefunction.

Ψ -epistemic approaches

- ▶ What we're going to discuss today is a version of the hidden variables strategy, but significantly different to what we've seen before (e.g., Bohmian mechanics).
- ▶ A lot of the most intense research in quantum foundations in the last decade or so has focussed on these 'Ψ-epistemic' positions, first proposed by Harrigan & Spekkens (2010).
- ▶ Roughly, according to these positions, there is *only* 'extra structure'; there is no wavefunction.
- ▶ 'Ψ-epistemic' approaches are *not* anti-realist, because they seek to model and study this 'extra structure'.

Ψ -epistemic approaches

- ▶ What we're going to discuss today is a version of the hidden variables strategy, but significantly different to what we've seen before (e.g., Bohmian mechanics).
- ▶ A lot of the most intense research in quantum foundations in the last decade or so has focussed on these ' Ψ -epistemic' positions, first proposed by Harrigan & Spekkens (2010).
- ▶ Roughly, according to these positions, there is *only* 'extra structure'; there is no wavefunction.
- ▶ ' Ψ -epistemic' approaches are *not* anti-realist, because they seek to model and study this 'extra structure'.
- ▶ (Rather, they're just anti-realist about wavefunction, hence ' Ψ -epistemic': at best, the quantum state Ψ encodes our ignorance; it is not something real.)

Must ψ be part of λ ?

In brief, then, the difference between the positions is this.

Must ψ be part of λ ?

In brief, then, the difference between the positions is this.

Ask: must the quantum state ψ be part of the ontic state λ ?

Must ψ be part of λ ?

In brief, then, the difference between the positions is this.

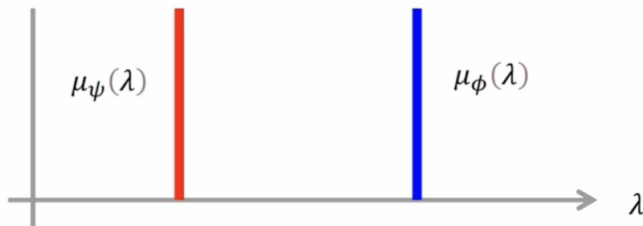
Ask: must the quantum state ψ be part of the ontic state λ ?

Yes: ' Ψ -ontic'. (And if $\lambda = \psi$, then ' Ψ -complete'.)

No: ' Ψ -epistemic'.

ψ -complete

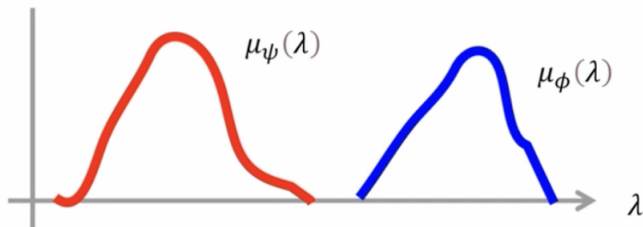
Knowing the quantum state ψ nails down the ontic state λ :



So: $\lambda \Leftrightarrow \psi$.

Ψ -ontic

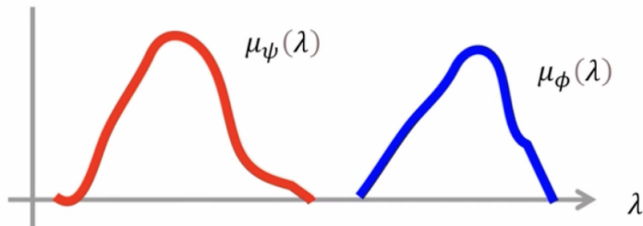
The ontic state λ implies the quantum state ψ but might contain other things as well.



So: $\lambda \Rightarrow \psi$.

Ψ -ontic

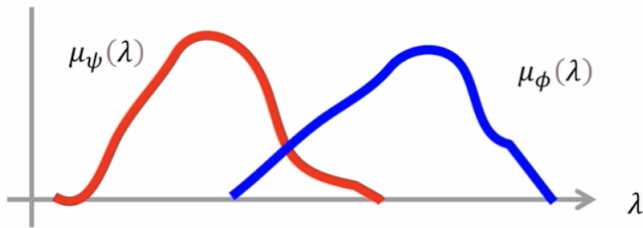
The ontic state λ implies the quantum state ψ but might contain other things as well.



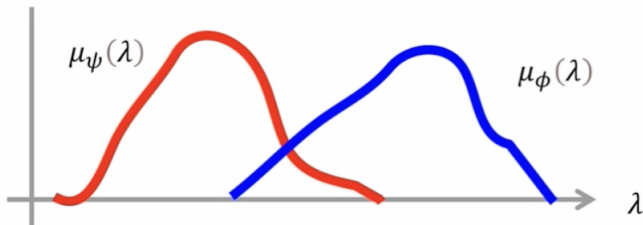
So: $\lambda \Rightarrow \psi$.

(Bohmians live here! Even for the Bohmians who want to treat Ψ as 'nomological', etc.)

Ψ -epistemic

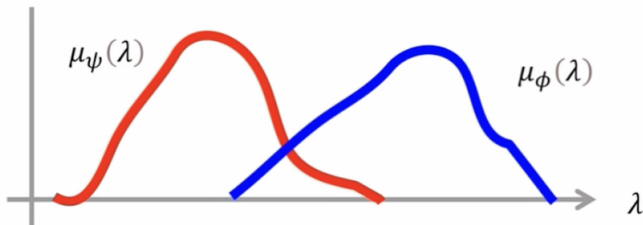


Ψ -epistemic



Now knowing the ontic state doesn't fix the quantum state, thereby undermining the 'ontic' status of the quantum state Ψ itself!

Ψ -epistemic



Now knowing the ontic state doesn't fix the quantum state, thereby undermining the 'ontic' status of the quantum state Ψ itself!

Surprisingly, it took until circa 2012 for people to come up with Ψ -epistemic models! But they are now a focus of significant investigation and study.

Challenges for Ψ -epistemic approaches

But are Ψ -epistemic approaches actually viable? In the remainder of this lecture, we're going to be looking at the following results, which cast this into doubt:

Challenges for Ψ -epistemic approaches

But are Ψ -epistemic approaches actually viable? In the remainder of this lecture, we're going to be looking at the following results, which cast this into doubt:

1. The Pusey-Barrett-Rudolph (PBR) theorem (2012)
2. Hardy's ontic state indifference theorem (2013)
3. The Barrett-Cavalcanti-Lal-Maroney (BCLM) inequality (2014)

Today

The ontological models framework

Introducing Ψ -epistemic approaches

The PBR theorem

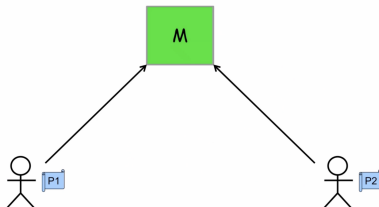
Hardy's ontic state indifference theorem

The BCLM inequality

Whither Ψ -epistemic?

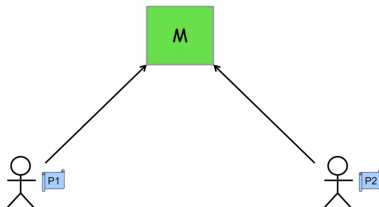
The PBR theorem

The PBR theorem implies that Ψ -epistemic approaches suffer from an extreme form of non-locality.



The PBR theorem

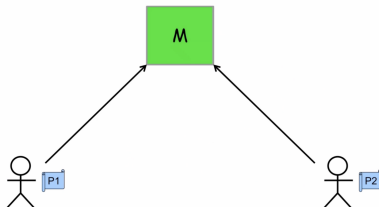
The PBR theorem implies that Ψ -epistemic approaches suffer from an extreme form of non-locality.



- Suppose you have two people who are performing their preparation procedures at remote locations from each other.

The PBR theorem

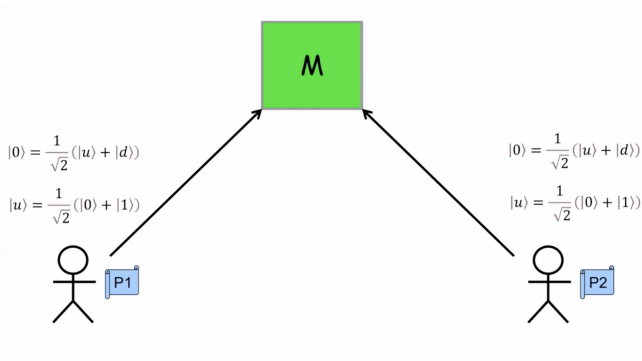
The PBR theorem implies that Ψ -epistemic approaches suffer from an extreme form of non-locality.



- ▶ Suppose you have two people who are performing their preparation procedures at remote locations from each other.
- ▶ (Somewhat akin to the opposite of the Bell scenario—two spatially separated *preparers* sending their prepared states to a joint *future* where they are measured jointly.)

The PBR theorem

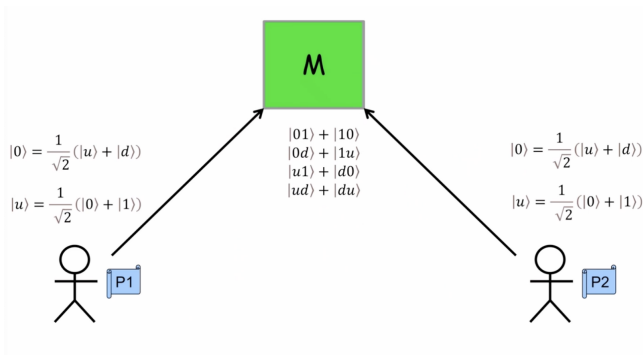
Alice and Bob prepare non-orthogonal states:



They prepare their states, send them off, and will later compare outcomes.

The PBR theorem

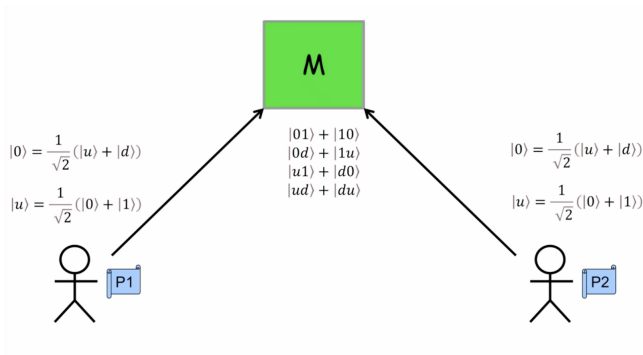
The measuring procedure measures this joint entangled basis:



This is an orthogonal set of states, so in this sense is a ‘good’ measurement basis.

The PBR theorem

The measuring procedure measures this joint entangled basis:



This is an orthogonal set of states, so in this sense is a ‘good’ measurement basis.

(Exercise: Confirm this, by writing out the states in the $\{|0\rangle, |1\rangle\}$ basis.)

The PBR theorem

We now have the following table of inner products:

.	$ 00\rangle$	$ 0u\rangle$	$ u0\rangle$	$ uu\rangle$
$ 01\rangle + 10\rangle$	0	$1/4$	$1/4$	$1/2$
$ 0d\rangle + 1u\rangle$	$1/4$	0	$1/2$	$1/4$
$ u1\rangle + d0\rangle$	$1/4$	$1/2$	0	$1/4$
$ ud\rangle + du\rangle$	$1/2$	$1/4$	$1/4$	0

The PBR theorem

We now have the following table of inner products:

.	$ 00\rangle$	$ 0u\rangle$	$ u0\rangle$	$ uu\rangle$
$ 01\rangle + 10\rangle$	0	1/4	1/4	1/2
$ 0d\rangle + 1u\rangle$	1/4	0	1/2	1/4
$ u1\rangle + d0\rangle$	1/4	1/2	0	1/4
$ ud\rangle + du\rangle$	1/2	1/4	1/4	0

From this we see that: (Here, Λ_α is the space of possible ontic states λ consistent with the quantum state $|\alpha\rangle$.)

$$\forall \lambda \in \Lambda_{00}, \quad \epsilon_M(01 + 10|\lambda) = 0$$

$$\forall \lambda \in \Lambda_{0u}, \quad \epsilon_M(0d + 1u|\lambda) = 0$$

$$\forall \lambda \in \Lambda_{u0}, \quad \epsilon_M(u1 + d0|\lambda) = 0$$

$$\forall \lambda \in \Lambda_{uu}, \quad \epsilon_M(ud + du|\lambda) = 0$$

(Zero probabilities of certain outcomes given where the ontic state λ is located in state space.)

The PBR theorem

- ▶ This means that there cannot be any ontic state in $\Lambda_{00} \cap \Lambda_{0u} \cap \Lambda_{u0} \cap \Lambda_{uu}$, because any state which would be in this intersection would have to give a zero probability of giving any of the outcomes.

The PBR theorem

- ▶ This means that there cannot be any ontic state in $\Lambda_{00} \cap \Lambda_{0u} \cap \Lambda_{u0} \cap \Lambda_{uu}$, because any state which would be in this intersection would have to give a zero probability of giving any of the outcomes.
- ▶ But we know that for any ontic state λ , if you sum over all the outcomes, you should get 1:

$$\sum_i \epsilon_M(q_i|\lambda) = 1.$$

The PBR theorem

- ▶ This means that there cannot be any ontic state in $\Lambda_{00} \cap \Lambda_{0u} \cap \Lambda_{u0} \cap \Lambda_{uu}$, because any state which would be in this intersection would have to give a zero probability of giving any of the outcomes.
- ▶ But we know that for any ontic state λ , if you sum over all the outcomes, you should get 1:

$$\sum_i \epsilon_M(q_i|\lambda) = 1.$$

- ▶ No intersection between the state spaces is equivalent to saying $\mu_{00}(\lambda)\mu_{0u}(\lambda)\mu_{u0}(\lambda)\mu_{uu}(\lambda) = 0$.

The PBR theorem

- Recall that the two states $|0\rangle$ and $|u\rangle$ are being prepared independently by two spacelike-separated experimenters.

The PBR theorem

- ▶ Recall that the two states $|0\rangle$ and $|u\rangle$ are being prepared independently by two spacelike-separated experimenters.
- ▶ So let's make the assumption that the probability distribution of the joint ontic state space factorises into $\mu_{ij}(\lambda) = \mu_i(\lambda_1)\mu_j(\lambda_2)$ —i.e., into a preparation on the LHS and a preparation on the RHS.

The PBR theorem

- ▶ Recall that the two states $|0\rangle$ and $|u\rangle$ are being prepared independently by two spacelike-separated experimenters.
- ▶ So let's make the assumption that the probability distribution of the joint ontic state space factorises into $\mu_{ij}(\lambda) = \mu_i(\lambda_1)\mu_j(\lambda_2)$ —i.e., into a preparation on the LHS and a preparation on the RHS.
- ▶ Plugging this into $\mu_{00}(\lambda)\mu_{0u}(\lambda)\mu_{u0}(\lambda)\mu_{uu}(\lambda) = 0$, we find that for any pair of ontic states λ_1 and λ_2 ,

$$(\mu_0(\lambda_1)\mu_u(\lambda_1))^2(\mu_0(\lambda_2)\mu_u(\lambda_2))^2 = 0.$$

The PBR theorem

- ▶ Recall that the two states $|0\rangle$ and $|u\rangle$ are being prepared independently by two spacelike-separated experimenters.
- ▶ So let's make the assumption that the probability distribution of the joint ontic state space factorises into $\mu_{ij}(\lambda) = \mu_i(\lambda_1)\mu_j(\lambda_2)$ —i.e., into a preparation on the LHS and a preparation on the RHS.
- ▶ Plugging this into $\mu_{00}(\lambda)\mu_{0u}(\lambda)\mu_{u0}(\lambda)\mu_{uu}(\lambda) = 0$, we find that for any pair of ontic states λ_1 and λ_2 ,

$$(\mu_0(\lambda_1)\mu_u(\lambda_1))^2(\mu_0(\lambda_2)\mu_u(\lambda_2))^2 = 0.$$

- ▶ So either $\mu_0(\lambda_1)\mu_u(\lambda_1) = 0$ or $\mu_0(\lambda_2)\mu_u(\lambda_2) = 0$.

The PBR theorem

- ▶ Recall that the two states $|0\rangle$ and $|u\rangle$ are being prepared independently by two spacelike-separated experimenters.
- ▶ So let's make the assumption that the probability distribution of the joint ontic state space factorises into $\mu_{ij}(\lambda) = \mu_i(\lambda_1)\mu_j(\lambda_2)$ —i.e., into a preparation on the LHS and a preparation on the RHS.
- ▶ Plugging this into $\mu_{00}(\lambda)\mu_{0u}(\lambda)\mu_{u0}(\lambda)\mu_{uu}(\lambda) = 0$, we find that for any pair of ontic states λ_1 and λ_2 ,

$$(\mu_0(\lambda_1)\mu_u(\lambda_1))^2(\mu_0(\lambda_2)\mu_u(\lambda_2))^2 = 0.$$

- ▶ So either $\mu_0(\lambda_1)\mu_u(\lambda_1) = 0$ or $\mu_0(\lambda_2)\mu_u(\lambda_2) = 0$.
- ▶ This means, for both λ_1 and λ_2 , that there is *no overlap* in the probability distributions of the $|0\rangle$ or $|u\rangle$ preparations.

The PBR theorem

- ▶ Recall that the two states $|0\rangle$ and $|u\rangle$ are being prepared independently by two spacelike-separated experimenters.
- ▶ So let's make the assumption that the probability distribution of the joint ontic state space factorises into $\mu_{ij}(\lambda) = \mu_i(\lambda_1)\mu_j(\lambda_2)$ —i.e., into a preparation on the LHS and a preparation on the RHS.
- ▶ Plugging this into $\mu_{00}(\lambda)\mu_{0u}(\lambda)\mu_{u0}(\lambda)\mu_{uu}(\lambda) = 0$, we find that for any pair of ontic states λ_1 and λ_2 ,

$$(\mu_0(\lambda_1)\mu_u(\lambda_1))^2(\mu_0(\lambda_2)\mu_u(\lambda_2))^2 = 0.$$

- ▶ So either $\mu_0(\lambda_1)\mu_u(\lambda_1) = 0$ or $\mu_0(\lambda_2)\mu_u(\lambda_2) = 0$.
- ▶ This means, for both λ_1 and λ_2 , that there is *no overlap* in the probability distributions of the $|0\rangle$ or $|u\rangle$ preparations.
- ▶ *But this just means that we are working with a Ψ -ontic model!*

Upshot of the PBR theorem

- ▶ The general conclusion from and upshot of the PBR theorem is this: independent product state preparations leads us to Ψ -ontic models.

Upshot of the PBR theorem

- ▶ The general conclusion from and upshot of the PBR theorem is this: independent product state preparations leads us to Ψ -ontic models.
- ▶ Call this key assumption *preparation independence*:

$$\mu_{|\alpha\rangle|\beta\rangle}(\lambda) = \mu_{|\alpha\rangle}(\lambda_1)\mu_{|\beta\rangle}(\lambda_2).$$

Upshot of the PBR theorem

- ▶ The general conclusion from and upshot of the PBR theorem is this: independent product state preparations leads us to Ψ -ontic models.
- ▶ Call this key assumption *preparation independence*:

$$\mu_{|\alpha\rangle|\beta\rangle}(\lambda) = \mu_{|\alpha\rangle}(\lambda_1)\mu_{|\beta\rangle}(\lambda_2).$$

- ▶ Note that this is the *only* requirement which we've added onto the ontic model!

Upshot of the PBR theorem

- ▶ The general conclusion from and upshot of the PBR theorem is this: independent product state preparations leads us to Ψ -ontic models.
- ▶ Call this key assumption *preparation independence*:

$$\mu_{|\alpha\rangle|\beta\rangle}(\lambda) = \mu_{|\alpha\rangle}(\lambda_1)\mu_{|\beta\rangle}(\lambda_2).$$

- ▶ Note that this is the *only* requirement which we've added onto the ontic model!
- ▶ How bad is the failure of preparation independence?

Upshot of the PBR theorem

- ▶ The general conclusion from and upshot of the PBR theorem is this: independent product state preparations leads us to Ψ -ontic models.
- ▶ Call this key assumption *preparation independence*:

$$\mu_{|\alpha\rangle|\beta\rangle}(\lambda) = \mu_{|\alpha\rangle}(\lambda_1)\mu_{|\beta\rangle}(\lambda_2).$$

- ▶ Note that this is the *only* requirement which we've added onto the ontic model!
- ▶ How bad is the failure of preparation independence?
- ▶ However one cuts it, the failure seems to be a kind of non-locality.

Possible responses to the PBR theorem

1. $\lambda = (\lambda_1, \lambda_2)$. Choice of preparation $|\beta\rangle$ affects ontic state λ_1 .

Possible responses to the PBR theorem

1. $\lambda = (\lambda_1, \lambda_2)$. Choice of preparation $|\beta\rangle$ affects ontic state λ_1 .
 - Preparation events occur before the two systems are brought together.

Possible responses to the PBR theorem

1. $\lambda = (\lambda_1, \lambda_2)$. Choice of preparation $|\beta\rangle$ affects ontic state λ_1 .
 - ▶ Preparation events occur before the two systems are brought together.
 - ▶ Any other system *could have* been used!

Possible responses to the PBR theorem

1. $\lambda = (\lambda_1, \lambda_2)$. Choice of preparation $|\beta\rangle$ affects ontic state λ_1 .
 - ▶ Preparation events occur before the two systems are brought together.
 - ▶ Any other system *could have* been used!
 - ▶ Preparation of *any other system* (anywhere!) affects ontic state λ_1 ??

Possible responses to the PBR theorem

1. $\lambda = (\lambda_1, \lambda_2)$. Choice of preparation $|\beta\rangle$ affects ontic state λ_1 .
 - ▶ Preparation events occur before the two systems are brought together.
 - ▶ Any other system *could have* been used!
 - ▶ Preparation of *any other system* (anywhere!) affects ontic state λ_1 ??
2. $\lambda = (\lambda_1, \lambda_2)$. Deny that there is any factorisation in the first place. Pre-existing correlations? (Cf. the superdeterminism response to measurement independence.)

Possible responses to the PBR theorem

1. $\lambda = (\lambda_1, \lambda_2)$. Choice of preparation $|\beta\rangle$ affects ontic state λ_1 .
 - ▶ Preparation events occur before the two systems are brought together.
 - ▶ Any other system *could have* been used!
 - ▶ Preparation of *any other system* (anywhere!) affects ontic state λ_1 ??
2. $\lambda = (\lambda_1, \lambda_2)$. Deny that there is any factorisation in the first place. Pre-existing correlations? (Cf. the superdeterminism response to measurement independence.)
 - ▶ Any other system *could have been used*! (Same problem as above.)

Possible responses to the PBR theorem

1. $\lambda = (\lambda_1, \lambda_2)$. Choice of preparation $|\beta\rangle$ affects ontic state λ_1 .
 - ▶ Preparation events occur before the two systems are brought together.
 - ▶ Any other system *could have* been used!
 - ▶ Preparation of *any other system* (anywhere!) affects ontic state λ_1 ??
2. $\lambda = (\lambda_1, \lambda_2)$. Deny that there is any factorisation in the first place. Pre-existing correlations? (Cf. the superdeterminism response to measurement independence.)
 - ▶ Any other system *could have been used*! (Same problem as above.)
 - ▶ Pre-existing correlations between all remote systems?

Possible responses to the PBR theorem

1. $\lambda = (\lambda_1, \lambda_2)$. Choice of preparation $|\beta\rangle$ affects ontic state λ_1 .
 - ▶ Preparation events occur before the two systems are brought together.
 - ▶ Any other system *could have* been used!
 - ▶ Preparation of *any other system* (anywhere!) affects ontic state λ_1 ??
2. $\lambda = (\lambda_1, \lambda_2)$. Deny that there is any factorisation in the first place. Pre-existing correlations? (Cf. the superdeterminism response to measurement independence.)
 - ▶ Any other system *could have been used*! (Same problem as above.)
 - ▶ Pre-existing correlations between all remote systems?
3. $\lambda \neq (\lambda_1, \lambda_2)$. Global ontic states?

Possible responses to the PBR theorem

1. $\lambda = (\lambda_1, \lambda_2)$. Choice of preparation $|\beta\rangle$ affects ontic state λ_1 .
 - ▶ Preparation events occur before the two systems are brought together.
 - ▶ Any other system *could have been used*!
 - ▶ Preparation of *any other system* (anywhere!) affects ontic state λ_1 ??
2. $\lambda = (\lambda_1, \lambda_2)$. Deny that there is any factorisation in the first place. Pre-existing correlations? (Cf. the superdeterminism response to measurement independence.)
 - ▶ Any other system *could have been used*! (Same problem as above.)
 - ▶ Pre-existing correlations between all remote systems?
3. $\lambda \neq (\lambda_1, \lambda_2)$. Global ontic states?
 - ▶ This is denying that global *ontic* states are separable—a more extreme form of non-separability than we've seen so far. (Before, the quantum states might have been non-separable but the ontic states were still separable.)

Possible responses to the PBR theorem

1. $\lambda = (\lambda_1, \lambda_2)$. Choice of preparation $|\beta\rangle$ affects ontic state λ_1 .
 - ▶ Preparation events occur before the two systems are brought together.
 - ▶ Any other system *could have been used*!
 - ▶ Preparation of *any other system* (anywhere!) affects ontic state λ_1 ??
2. $\lambda = (\lambda_1, \lambda_2)$. Deny that there is any factorisation in the first place. Pre-existing correlations? (Cf. the superdeterminism response to measurement independence.)
 - ▶ Any other system *could have been used*! (Same problem as above.)
 - ▶ Pre-existing correlations between all remote systems?
3. $\lambda \neq (\lambda_1, \lambda_2)$. Global ontic states?
 - ▶ This is denying that global *ontic* states are separable—a more extreme form of non-separability than we've seen so far. (Before, the quantum states might have been non-separable but the ontic states were still separable.)
 - ▶ How to recover local ontic properties measured in experiments?

Assessing the possible responses to the PBR theorem

These responses are all worth exploring, but *prima facie* all seem to have their own problems.

Assessing the possible responses to the PBR theorem

These responses are all worth exploring, but *prima facie* all seem to have their own problems.

Note that there are parallels here with the various responses to Bell's theorem (Lectures 9 and 10).

Today

The ontological models framework

Introducing Ψ -epistemic approaches

The PBR theorem

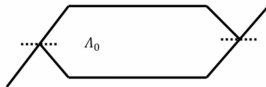
Hardy's ontic state indifference theorem

The BCLM inequality

Whither Ψ -epistemic?

Hardy's ontic state indifference theorem

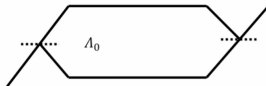
Consider an interferometer:



$$|0\rangle = \frac{1}{\sqrt{2}}(|u\rangle + |d\rangle)$$

Hardy's ontic state indifference theorem

Consider an interferometer:

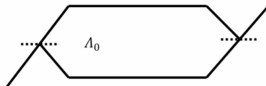


$$|0\rangle = \frac{1}{\sqrt{2}}(|u\rangle + |d\rangle)$$

- Consider the $|0\rangle$ state as above.

Hardy's ontic state indifference theorem

Consider an interferometer:

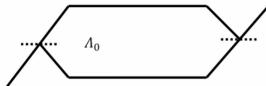


$$|0\rangle = \frac{1}{\sqrt{2}}(|u\rangle + |d\rangle)$$

- ▶ Consider the $|0\rangle$ state as above.
- ▶ Consider the ontic state space for the $|0\rangle$ preparation, Λ_0 .

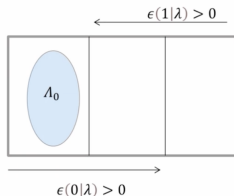
Hardy's ontic state indifference theorem

Consider an interferometer:



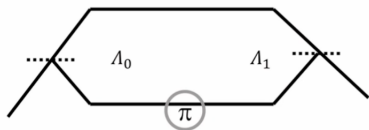
$$|0\rangle = \frac{1}{\sqrt{2}}(|u\rangle + |d\rangle)$$

- ▶ Consider the $|0\rangle$ state as above.
- ▶ Consider the ontic state space for the $|0\rangle$ preparation, Λ_0 .
- ▶ For this preparation, the system couldn't have been in the region of state space where the outcome could have been recorded as 1, so we have:

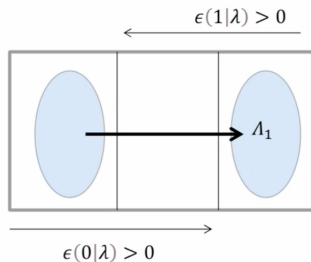


Hardy's ontic state indifference theorem

If I had inserted a phase shifter π in the lower half of the interferometer, I would have shifted the entire ontic state to being somewhere in Λ_1 :



$$|1\rangle = \frac{1}{\sqrt{2}}(|u\rangle - |d\rangle)$$



A mass exodus of ontic states...

Hardy's ontic state indifference theorem

Now consider the up state $|u\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$ with ontic state space Λ_u .

Hardy's ontic state indifference theorem

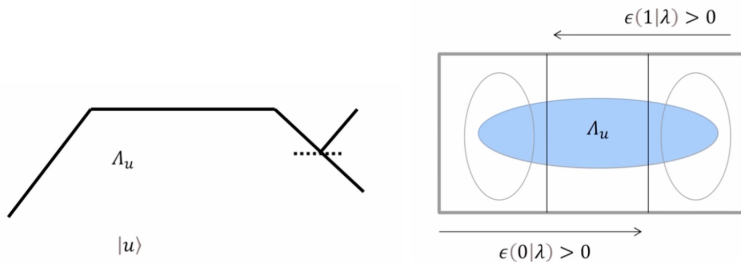
Now consider the up state $|u\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$ with ontic state space Λ_u .

- This just goes in the upper half of the interferometer.

Hardy's ontic state indifference theorem

Now consider the up state $|u\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$ with ontic state space Λ_u .

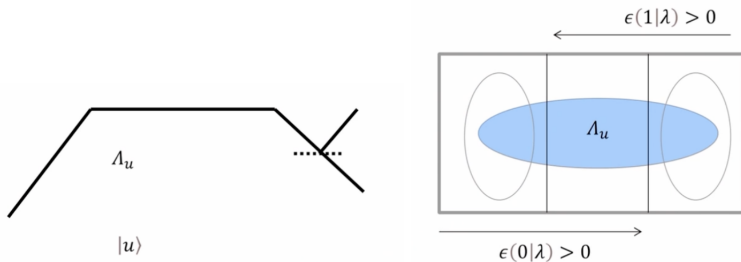
- ▶ This just goes in the upper half of the interferometer.
- ▶ When it hits the beam splitter, it has a 50/50 chance of yielding 0 or 1:



Hardy's ontic state indifference theorem

Now consider the up state $|u\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$ with ontic state space Λ_u .

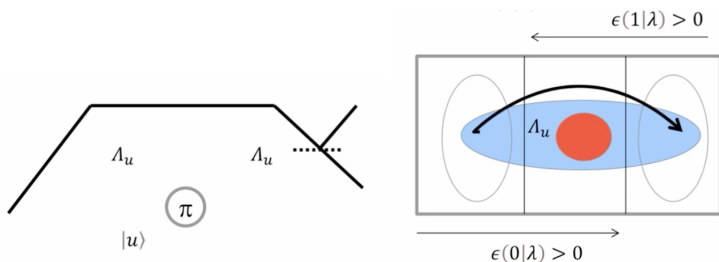
- ▶ This just goes in the upper half of the interferometer.
- ▶ When it hits the beam splitter, it has a 50/50 chance of yielding 0 or 1:



Here, that Λ_u extends into the left-hand box and right-hand box makes the model Ψ -epistemic, because these are, respectively, the intersection with the Λ_0 and Λ_1 (recall the original diagram illustrating Ψ -epistemic theories.)

Hardy's ontic state indifference theorem

Now do the phase shift in the branch of the interferometer where the wavepacket never goes:



Some ontic states (those in the left-hand box) have to be shifted over even though the interferometer acted where the wavepacket never goes (*mutatis mutandis* the other way). ('Remote invasiveness'.)

Hardy's ontic state indifference theorem

- ▶ Hardy says: if the interaction doesn't change the quantum state, then the ontic states should be indifferent to it.

Hardy's ontic state indifference theorem

- ▶ Hardy says: if the interaction doesn't change the quantum state, then the ontic states should be indifferent to it.
- ▶ Given this assumption ('ontic state indifference'), the ontic states can only lie in the red region.

Hardy's ontic state indifference theorem

- ▶ Hardy says: if the interaction doesn't change the quantum state, then the ontic states should be indifferent to it.
- ▶ Given this assumption ('ontic state indifference'), the ontic states can only lie in the red region.
- ▶ In that case, we have to have a Ψ -ontic model!

Hardy's ontic state indifference theorem

- ▶ Hardy says: if the interaction doesn't change the quantum state, then the ontic states should be indifferent to it.
- ▶ Given this assumption ('ontic state indifference'), the ontic states can only lie in the red region.
- ▶ In that case, we have to have a Ψ -ontic model!
- ▶ The reason being that now Λ_U has no intersection with either Λ_0 or Λ_1 . (Recall again the original diagram illustrating Ψ -epistemic theories.)

How bad is remote invasiveness?

Proponents of Ψ -epistemic models could bite the bullet and accept remote invasiveness (and so reject ontic state indifference). How bad would this be?

How bad is remote invasiveness?

Proponents of Ψ -epistemic models could bite the bullet and accept remote invasiveness (and so reject ontic state indifference). How bad would this be?

- Ontic state affected by operations performed at remote locations!

How bad is remote invasiveness?

Proponents of Ψ -epistemic models could bite the bullet and accept remote invasiveness (and so reject ontic state indifference). How bad would this be?

- ▶ Ontic state affected by operations performed at remote locations!
- ▶ Almost any region which is causally connected with a common past and common future!

How bad is remote invasiveness?

Proponents of Ψ -epistemic models could bite the bullet and accept remote invasiveness (and so reject ontic state indifference). How bad would this be?

- ▶ Ontic state affected by operations performed at remote locations!
- ▶ Almost any region which is causally connected with a common past and common future!
- ▶ So operations performed almost anywhere can affect the local ontic properties!

Today

The ontological models framework

Introducing Ψ -epistemic approaches

The PBR theorem

Hardy's ontic state indifference theorem

The BCLM inequality

Whither Ψ -epistemic?

The BCLM inequality

- ▶ Unlike the previous two cases, the Barrett-Cavalcanti-Lal-Maroney (BCLM) inequality (2014) makes no extra assumptions whatsoever—in particular, it does *not* assume preparation independence.

The BCLM inequality

- ▶ Unlike the previous two cases, the Barrett-Cavalcanti-Lal-Maroney (BCLM) inequality (2014) makes no extra assumptions whatsoever—in particular, it does *not* assume preparation independence.
- ▶ The BCLM inequality doesn't *prove* Ψ -ontic, but it does set a limit on 'how Ψ -epistemic one can be'; exceeding this bound leads to an empirically inadequate theory.

The BCLM inequality

- ▶ Unlike the previous two cases, the Barrett-Cavalcanti-Lal-Maroney (BCLM) inequality (2014) makes no extra assumptions whatsoever—in particular, it does *not* assume preparation independence.
- ▶ The BCLM inequality doesn't *prove* Ψ -ontic, but it does set a limit on 'how Ψ -epistemic one can be'; exceeding this bound leads to an empirically inadequate theory.
- ▶ (I won't trouble you with a proof or an explicit statement of the result, both of which would take us a bit too far afield, but see the original (short!) paper for the details.)

Three inequalities for the three no-go theorems

- ▶ By now, we've seen three key no-go theorems:
 1. Bell's theorem
 2. The BKS theorem
 3. The PBR theorem

Three inequalities for the three no-go theorems

- ▶ By now, we've seen three key no-go theorems:
 1. Bell's theorem
 2. The BKS theorem
 3. The PBR theorem
- ▶ Associated with each of these is an inequality—respectively,
 1. The CHSH inequality
 2. The KCBS inequality
 3. The BCLM inequality

Three inequalities for the three no-go theorems

- ▶ By now, we've seen three key no-go theorems:
 1. Bell's theorem
 2. The BKS theorem
 3. The PBR theorem
- ▶ Associated with each of these is an inequality—respectively,
 1. The CHSH inequality
 2. The KCBS inequality
 3. The BCLM inequality

Exercise: Compare the conceptual status of these three inequalities with respect to their respective no-go theorems. Which invite us to be doing 'experimental metaphysics'?

Today

The ontological models framework

Introducing Ψ -epistemic approaches

The PBR theorem

Hardy's ontic state indifference theorem

The BCLM inequality

Whither Ψ -epistemic?

Whither Ψ -epistemic?

- ▶ Bite the bullet?

Whither Ψ -epistemic?

- ▶ Bite the bullet?
 - ▶ Accept radical non-locality.

Whither Ψ -epistemic?

- ▶ Bite the bullet?
 - ▶ Accept radical non-locality.
 - ▶ Build *partially* Ψ -epistemic models that push up against the boundaries of the BCLM inequality. (But does this actually solve the non-locality issue?)

Whither Ψ -epistemic?

- ▶ Bite the bullet?
 - ▶ Accept radical non-locality.
 - ▶ Build *partially* Ψ -epistemic models that push up against the boundaries of the BCLM inequality. (But does this actually solve the non-locality issue?)
- ▶ Change the rules, e.g.:

Whither Ψ -epistemic?

- ▶ Bite the bullet?
 - ▶ Accept radical non-locality.
 - ▶ Build *partially* Ψ -epistemic models that push up against the boundaries of the BCLM inequality. (But does this actually solve the non-locality issue?)
- ▶ Change the rules, e.g.:
 - ▶ Superdeterminism?

Whither Ψ -epistemic?

- ▶ Bite the bullet?
 - ▶ Accept radical non-locality.
 - ▶ Build *partially* Ψ -epistemic models that push up against the boundaries of the BCLM inequality. (But does this actually solve the non-locality issue?)
- ▶ Change the rules, e.g.:
 - ▶ Superdeterminism?
 - ▶ Globally non-separable ontic states?

Whither Ψ -epistemic?

- ▶ Bite the bullet?
 - ▶ Accept radical non-locality.
 - ▶ Build *partially* Ψ -epistemic models that push up against the boundaries of the BCLM inequality. (But does this actually solve the non-locality issue?)
- ▶ Change the rules, e.g.:
 - ▶ Superdeterminism?
 - ▶ Globally non-separable ontic states?
- ▶ Reject the game: “The wavefunction represents neither part of an ontic state, nor a probability distribution over such states”. Direction of general anti-realism?

Summary

Today, I've:

Summary

Today, I've:

- ▶ Introduced the ontological models framework.

Summary

Today, I've:

- ▶ Introduced the ontological models framework.
- ▶ Distinguished between Ψ -ontic and Ψ -epistemic theories.

Summary

Today, I've:

- ▶ Introduced the ontological models framework.
- ▶ Distinguished between Ψ -ontic and Ψ -epistemic theories.
- ▶ Presented the PBR and ontic state indifference theorems against Ψ -epistemic theories.

Summary

Today, I've:

- ▶ Introduced the ontological models framework.
- ▶ Distinguished between Ψ -ontic and Ψ -epistemic theories.
- ▶ Presented the PBR and ontic state indifference theorems against Ψ -epistemic theories.
- ▶ Briefly introduced the BCLM inequality.






Summary

Today, I've:

- ▶ Introduced the ontological models framework.
- ▶ Distinguished between Ψ -ontic and Ψ -epistemic theories.
- ▶ Presented the PBR and ontic state indifference theorems against Ψ -epistemic theories.
- ▶ Briefly introduced the BCLM inequality.

Next week: quantum logic, QBism, and pragmatism.

References

-  Jonathan Barrett, Eric G. Cavalcanti, Raymond Lal and Owen J. E. Maroney, “No ψ -epistemic Model Can Fully Explain the Indistinguishability of Quantum States”, Physical Review Letters 112, 250403, 2014.
-  Lucien Hardy, “Are Quantum States Real?”, International Journal of Modern Physics B 27(3), 1345012, 2013.
-  Nicholas Harrigan and Robert W. Spekkens, “Einstein, Incompleteness, and the Epistemic View of Quantum States”, Foundations of Physics 40, pp. 125–57, 2010.
-  Matt Leifer, “Is the Quantum State Real?” Quanta 3, pp. 67-155, 2014.
-  Matthew F. Pusey, Jonathan Barrett and Terry Rudolph, “On the Reality of the Quantum State”, Nature Physics 8, pp. 475-478, 2012.