

# IPP-QM-3: Decoherence

James Read<sup>1</sup>

<sup>1</sup>Faculty of Philosophy, University of Oxford, UK, OX2 6GG

MT24

# The course

1. Basic quantum formalism
2. Density operators and entanglement
3. Decoherence
4. The measurement problem
5. Dynamical collapse theories
6. Bohmian mechanics
7. Everettian structure
8. Everettian probability
9. EPR and Bell's theorem
10. The Bell-CHSH inequalities and possible responses
11. Contextuality
12. The PBR theorem
13. Quantum logic
14. Pragmatism and QBism
15. Relational quantum mechanics
16. Wavefunction realism

# Today

Decoherence introduced

Decoherence formalised

Decoherence and branching

Wigner functions

Further applications of decoherence

# Today

Decoherence introduced

Decoherence formalised

Decoherence and branching

Wigner functions

Further applications of decoherence

# Decoherence introduced

- ▶ Suppose some system interacts with its environment.

# Decoherence introduced

- ▶ Suppose some system interacts with its environment.
- ▶ Decoherence is a phenomenon in unitary quantum mechanics whereby (a) the system becomes entangled with its environment, and thereby (b) is such that quantum interference effects *within the system* are suppressed.

# Decoherence introduced

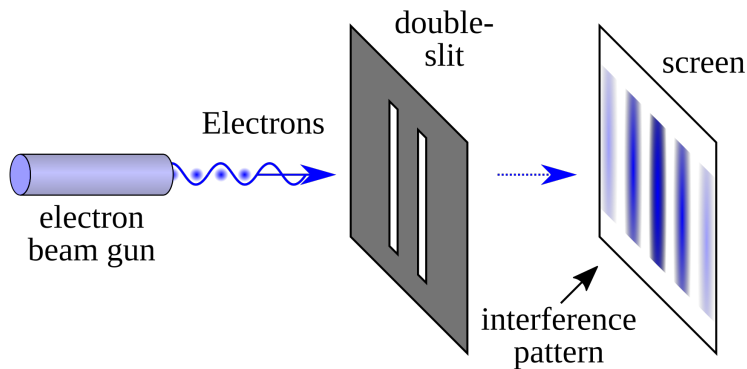
- ▶ Suppose some system interacts with its environment.
- ▶ Decoherence is a phenomenon in unitary quantum mechanics whereby (a) the system becomes entangled with its environment, and thereby (b) is such that quantum interference effects *within the system* are suppressed.
- ▶ Decoherence is ubiquitous in quantum mechanics and (all parties agree) of great foundational importance!

# Decoherence introduced

- ▶ Suppose some system interacts with its environment.
- ▶ Decoherence is a phenomenon in unitary quantum mechanics whereby (a) the system becomes entangled with its environment, and thereby (b) is such that quantum interference effects *within the system* are suppressed.
- ▶ Decoherence is ubiquitous in quantum mechanics and (all parties agree) of great foundational importance!
- ▶ Today, I'll introduce some of the details of decoherence.



# Warmup: the double slit experiment



# The double slit experiment

- ▶ The two-slit experiment is a paradigm example of an *interference* experiment.

# The double slit experiment

- ▶ The two-slit experiment is a paradigm example of an *interference* experiment.
- ▶ One repeatedly sends electrons or other particles through a screen with two narrow slits, the electrons impact upon a second screen, and we ask for the probability distribution of detections over the surface of the screen.

# The double slit experiment

- ▶ The two-slit experiment is a paradigm example of an *interference* experiment.
- ▶ One repeatedly sends electrons or other particles through a screen with two narrow slits, the electrons impact upon a second screen, and we ask for the probability distribution of detections over the surface of the screen.
- ▶ One might naïvely try to calculate them by summing over the probabilities of detection at the slits multiplied by the probabilities for detection at the screen conditional on detection at the slits.

# The double slit experiment

- ▶ The two-slit experiment is a paradigm example of an *interference* experiment.
- ▶ One repeatedly sends electrons or other particles through a screen with two narrow slits, the electrons impact upon a second screen, and we ask for the probability distribution of detections over the surface of the screen.
- ▶ One might naïvely try to calculate them by summing over the probabilities of detection at the slits multiplied by the probabilities for detection at the screen conditional on detection at the slits.
- ▶ But in general in quantum mechanics there is an additional so-called interference term in the correct expression for the probability, and this term depends on both the wave components that pass through the slits.

# The double slit experiment

- Quantitatively, the density distribution of particles on the screen  $\varrho(x)$  is given by (see e.g. Schlosshauer 2007, ch. 2):

$$\begin{aligned}\varrho(x) &= \frac{1}{2} |\psi_1(x) + \psi_2(x)|^2 \\ &= \frac{1}{2} |\psi_1(x)|^2 + \frac{1}{2} |\psi_2(x)|^2 + \operatorname{Re} \{ \psi_1(x) \psi_2^*(x) \}\end{aligned}$$

# The double slit experiment

- Quantitatively, the density distribution of particles on the screen  $\varrho(x)$  is given by (see e.g. Schlosshauer 2007, ch. 2):

$$\begin{aligned}\varrho(x) &= \frac{1}{2} |\psi_1(x) + \psi_2(x)|^2 \\ &= \frac{1}{2} |\psi_1(x)|^2 + \frac{1}{2} |\psi_2(x)|^2 + \text{Re} \{ \psi_1(x) \psi_2^*(x) \}\end{aligned}$$

- But if we *measure* which slit the particle goes through, then the interference on the screen is suppressed!

# The double slit experiment

- Quantitatively, the density distribution of particles on the screen  $\varrho(x)$  is given by (see e.g. Schlosshauer 2007, ch. 2):

$$\begin{aligned}\varrho(x) &= \frac{1}{2} |\psi_1(x) + \psi_2(x)|^2 \\ &= \frac{1}{2} |\psi_1(x)|^2 + \frac{1}{2} |\psi_2(x)|^2 + \text{Re} \{ \psi_1(x) \psi_2^*(x) \}\end{aligned}$$

- But if we *measure* which slit the particle goes through, then the interference on the screen is suppressed!
- Decoherence allows us to make sense of this within the framework of ‘standard’ quantum mechanics, and without any obscure ‘collapse-on-measurement’-like invocations.



# The double slit experiment

- ▶ Quantitatively, the density distribution of particles on the screen  $\varrho(x)$  is given by (see e.g. Schlosshauer 2007, ch. 2):

$$\begin{aligned}\varrho(x) &= \frac{1}{2} |\psi_1(x) + \psi_2(x)|^2 \\ &= \frac{1}{2} |\psi_1(x)|^2 + \frac{1}{2} |\psi_2(x)|^2 + \text{Re} \{ \psi_1(x) \psi_2^*(x) \}\end{aligned}$$

- ▶ But if we *measure* which slit the particle goes through, then the interference on the screen is suppressed!
- ▶ Decoherence allows us to make sense of this within the framework of ‘standard’ quantum mechanics, and without any obscure ‘collapse-on-measurement’-like invocations.
- ▶ (For a nice discussion, see (Maudlin 2019, p. 58).)

# Some history

- Dynamical suppression of interference has featured in many papers since the beginning of quantum mechanics, such as Mott's (1929) analysis of  $\alpha$ -particle tracks.

# Some history

- ▶ Dynamical suppression of interference has featured in many papers since the beginning of quantum mechanics, such as Mott's (1929) analysis of  $\alpha$ -particle tracks.
- ▶ The modern foundation of decoherence as a subject in its own right was laid by H.-D. Zeh in the early 1970s (Zeh 1970, 1973).

# Some history

- ▶ Dynamical suppression of interference has featured in many papers since the beginning of quantum mechanics, such as Mott's (1929) analysis of  $\alpha$ -particle tracks.
- ▶ The modern foundation of decoherence as a subject in its own right was laid by H.-D. Zeh in the early 1970s (Zeh 1970, 1973).
- ▶ Equally influential were the papers by W. Zurek from the early 1980s (Zurek 1981, 1982).

# Some history

- ▶ Dynamical suppression of interference has featured in many papers since the beginning of quantum mechanics, such as Mott's (1929) analysis of  $\alpha$ -particle tracks.
- ▶ The modern foundation of decoherence as a subject in its own right was laid by H.-D. Zeh in the early 1970s (Zeh 1970, 1973).
- ▶ Equally influential were the papers by W. Zurek from the early 1980s (Zurek 1981, 1982).
- ▶ A concise and readable introduction to the theory is provided by Zurek in *Physics Today* (1991).

# Some history

- ▶ Dynamical suppression of interference has featured in many papers since the beginning of quantum mechanics, such as Mott's (1929) analysis of  $\alpha$ -particle tracks.
- ▶ The modern foundation of decoherence as a subject in its own right was laid by H.-D. Zeh in the early 1970s (Zeh 1970, 1973).
- ▶ Equally influential were the papers by W. Zurek from the early 1980s (Zurek 1981, 1982).
- ▶ A concise and readable introduction to the theory is provided by Zurek in *Physics Today* (1991).
- ▶ More recent surveys are given in Zeh (2003a), Zurek (2003), and in the books by Giulini et al. (1996, second edition Joos et al. 2003), and by Schlosshauer (2007).

# Today

Decoherence introduced

**Decoherence formalised**

Decoherence and branching

Wigner functions

Further applications of decoherence

# Decoherence

- ▶ ‘Decoherence’ is the process by which the environment of a system continually interacts with, and becomes entangled with, the system.



# Decoherence

- ▶ ‘Decoherence’ is the process by which the environment of a system continually interacts with, and becomes entangled with, the system.
- ▶ Its best-known property is the suppression of coherence (i.e., quantum mechanical interference effects) in superpositions of states for the system (in a particular basis picked out by the subsystem-environment interaction).

# A simple model

- ▶ Suppose that we have two one-particle systems, the first much heavier than the other.

# A simple model

- ▶ Suppose that we have two one-particle systems, the first much heavier than the other.
- ▶ Suppose moreover that the first system is prepared in a superposition of two localised wavepackets separated from one another by some large distance compared to the packet width. (NB: this makes sense for a one-particle Hilbert space...)

# A simple model

- ▶ Suppose that we have two one-particle systems, the first much heavier than the other.
- ▶ Suppose moreover that the first system is prepared in a superposition of two localised wavepackets separated from one another by some large distance compared to the packet width. (NB: this makes sense for a one-particle Hilbert space...)
- ▶ In other words, let the first system be in state

$$|\psi\rangle = \alpha |\psi_{q_1}\rangle + \beta |\psi_{q_2}\rangle,$$

where  $|\psi_{q_i}\rangle$  is localised around  $q_i$  ( $i = 1, 2$ ).

# A simple model

- ▶ Suppose that we have two one-particle systems, the first much heavier than the other.
- ▶ Suppose moreover that the first system is prepared in a superposition of two localised wavepackets separated from one another by some large distance compared to the packet width. (NB: this makes sense for a one-particle Hilbert space...)
- ▶ In other words, let the first system be in state

$$|\psi\rangle = \alpha |\psi_{q_1}\rangle + \beta |\psi_{q_2}\rangle,$$

where  $|\psi_{q_i}\rangle$  is localised around  $q_i$  ( $i = 1, 2$ ).

- ▶ Suppose that the Hamiltonian of the system contains some interaction term  $\hat{H}_{\text{int}} = V(\hat{X} - \hat{x})$ , where  $\hat{X}$  and  $\hat{x}$  are the position operators of the first and second particles, respectively.

# A simple model

- ▶ If one of  $\alpha$  or  $\beta$  is zero, then to a good approximation this reduces to a standard scattering problem: the second particle is scattering off a scattering centre at  $x = q_i$ , and the first particle does not change at all.

# A simple model

- ▶ If one of  $\alpha$  or  $\beta$  is zero, then to a good approximation this reduces to a standard scattering problem: the second particle is scattering off a scattering centre at  $x = q_i$ , and the first particle does not change at all.
- ▶ So the dynamics is

$$|\psi_{q_i}\rangle \otimes |\phi_0\rangle \longrightarrow |\psi_{q_i}\rangle \otimes |\phi_i^+\rangle,$$

where  $|\phi_i^+\rangle$  is some post-scattering state.

# A simple model

- ▶ If one of  $\alpha$  or  $\beta$  is zero, then to a good approximation this reduces to a standard scattering problem: the second particle is scattering off a scattering centre at  $x = q_i$ , and the first particle does not change at all.
- ▶ So the dynamics is

$$|\psi_{q_i}\rangle \otimes |\phi_0\rangle \longrightarrow |\psi_{q_i}\rangle \otimes |\phi_i^+\rangle ,$$

where  $|\phi_i^+\rangle$  is some post-scattering state.

- ▶ By the linearity of the Schrödinger equation, then, the general evolution has the form

$$|\psi_{q_i}\rangle \otimes |\phi_0\rangle \longrightarrow |\psi_{q_1}\rangle \otimes |\phi_1^+\rangle + |\psi_{q_2}\rangle \otimes |\phi_2^+\rangle .$$



# A simple model

- ▶ If one of  $\alpha$  or  $\beta$  is zero, then to a good approximation this reduces to a standard scattering problem: the second particle is scattering off a scattering centre at  $x = q_i$ , and the first particle does not change at all.
- ▶ So the dynamics is

$$|\psi_{q_i}\rangle \otimes |\phi_0\rangle \longrightarrow |\psi_{q_i}\rangle \otimes |\phi_i^+\rangle,$$

where  $|\phi_i^+\rangle$  is some post-scattering state.

- ▶ By the linearity of the Schrödinger equation, then, the general evolution has the form

$$|\psi_{q_i}\rangle \otimes |\phi_0\rangle \longrightarrow |\psi_{q_1}\rangle \otimes |\phi_1^+\rangle + |\psi_{q_2}\rangle \otimes |\phi_2^+\rangle.$$

- ▶ In other words, when the first particle is in a superposition, but not when it is not, the scattering interaction causes the two particles to become entangled.

# A simple model

- ▶ If one of  $\alpha$  or  $\beta$  is zero, then to a good approximation this reduces to a standard scattering problem: the second particle is scattering off a scattering centre at  $x = q_i$ , and the first particle does not change at all.
- ▶ So the dynamics is

$$|\psi_{q_i}\rangle \otimes |\phi_0\rangle \longrightarrow |\psi_{q_i}\rangle \otimes |\phi_i^+\rangle,$$

where  $|\phi_i^+\rangle$  is some post-scattering state.

- ▶ By the linearity of the Schrödinger equation, then, the general evolution has the form

$$|\psi_{q_i}\rangle \otimes |\phi_0\rangle \longrightarrow |\psi_{q_1}\rangle \otimes |\phi_1^+\rangle + |\psi_{q_2}\rangle \otimes |\phi_2^+\rangle.$$

- ▶ In other words, when the first particle is in a superposition, but not when it is not, the scattering interaction causes the two particles to become entangled.
- ▶ We might even say that the second particle has ‘measured’ the position of the first.

# Quantifying the entanglement

- ▶ The level of entanglement can be quantified by considering the density operator for the first particle in the  $|\psi_{q_i}\rangle$  basis.

# Quantifying the entanglement

- ▶ The level of entanglement can be quantified by considering the density operator for the first particle in the  $|\psi_{q_i}\rangle$  basis.
- ▶ If we idealise it as having exactly two possible position states,  $|\psi_{q_1}\rangle$  and  $|\psi_{q_2}\rangle$ , then the first particle's density operator evolves as

$$\rho_0 = \begin{pmatrix} |\alpha|^2 & \alpha\beta^* \\ \alpha^*\beta & |\beta|^2 \end{pmatrix} \longrightarrow \rho_+ = \begin{pmatrix} |\alpha|^2 & \alpha\beta^* \langle \phi_2^+ | \phi_1^+ \rangle \\ \alpha^*\beta \langle \phi_1^+ | \phi_2^+ \rangle & |\beta|^2 \end{pmatrix}.$$

**(Exercise:** Derive this by computing the partial trace.)

# Quantifying the entanglement

- ▶ The level of entanglement can be quantified by considering the density operator for the first particle in the  $|\psi_{q_i}\rangle$  basis.
- ▶ If we idealise it as having exactly two possible position states,  $|\psi_{q_1}\rangle$  and  $|\psi_{q_2}\rangle$ , then the first particle's density operator evolves as

$$\rho_0 = \begin{pmatrix} |\alpha|^2 & \alpha\beta^* \\ \alpha^*\beta & |\beta|^2 \end{pmatrix} \longrightarrow \rho_+ = \begin{pmatrix} |\alpha|^2 & \alpha\beta^* \langle \phi_2^+ | \phi_1^+ \rangle \\ \alpha^*\beta \langle \phi_1^+ | \phi_2^+ \rangle & |\beta|^2 \end{pmatrix}.$$

**(Exercise:** Derive this by computing the partial trace.)

- ▶ The off-diagonals quantify the coherence (i.e., interference) between the two possible positions of the first particle:

# Quantifying the entanglement

- ▶ The level of entanglement can be quantified by considering the density operator for the first particle in the  $|\psi_{q_i}\rangle$  basis.
- ▶ If we idealise it as having exactly two possible position states,  $|\psi_{q_1}\rangle$  and  $|\psi_{q_2}\rangle$ , then the first particle's density operator evolves as

$$\rho_0 = \begin{pmatrix} |\alpha|^2 & \alpha\beta^* \\ \alpha^*\beta & |\beta|^2 \end{pmatrix} \longrightarrow \rho_+ = \begin{pmatrix} |\alpha|^2 & \alpha\beta^* \langle \phi_2^+ | \phi_1^+ \rangle \\ \alpha^*\beta \langle \phi_1^+ | \phi_2^+ \rangle & |\beta|^2 \end{pmatrix}.$$

**(Exercise:** Derive this by computing the partial trace.)

- ▶ The off-diagonals quantify the coherence (i.e., interference) between the two possible positions of the first particle:
  - ▶ when they have magnitude  $|\alpha^*\beta|$ , the first particle is in a pure state and so not at all entangled with the second particle;

# Quantifying the entanglement

- ▶ The level of entanglement can be quantified by considering the density operator for the first particle in the  $|\psi_{q_i}\rangle$  basis.
- ▶ If we idealise it as having exactly two possible position states,  $|\psi_{q_1}\rangle$  and  $|\psi_{q_2}\rangle$ , then the first particle's density operator evolves as

$$\rho_0 = \begin{pmatrix} |\alpha|^2 & \alpha\beta^* \\ \alpha^*\beta & |\beta|^2 \end{pmatrix} \longrightarrow \rho_+ = \begin{pmatrix} |\alpha|^2 & \alpha\beta^* \langle \phi_2^+ | \phi_1^+ \rangle \\ \alpha^*\beta \langle \phi_1^+ | \phi_2^+ \rangle & |\beta|^2 \end{pmatrix}.$$

**(Exercise:** Derive this by computing the partial trace.)

- ▶ The off-diagonals quantify the coherence (i.e., interference) between the two possible positions of the first particle:
  - ▶ when they have magnitude  $|\alpha^*\beta|$ , the first particle is in a pure state and so not at all entangled with the second particle;
  - ▶ if they are equal to zero, then the entanglement is maximal, and the quantum measurement algorithm gives the same predictions as it would were the first particle's state to be in a probabilistic mixture of the two positions.

# Important point of clarification

- ▶ When subsystems interact with their environments, they generally become entangled with them. (So the overall system becomes 'more quantum'.)



# Important point of clarification

- ▶ When subsystems interact with their environments, they generally become entangled with them. (So the overall system becomes ‘more quantum’.)
- ▶ One effect of this is that coherence (i.e. interference) effects between terms of a superposition for the subsystem are *suppressed* (so the subsystem becomes ‘less quantum’—more like a classical mixture).

# Important point of clarification

- ▶ When subsystems interact with their environments, they generally become entangled with them. (So the overall system becomes ‘more quantum’.)
- ▶ One effect of this is that coherence (i.e. interference) effects between terms of a superposition for the subsystem are *suppressed* (so the subsystem becomes ‘less quantum’—more like a classical mixture).
- ▶ This, to repeat, is really decoherence in a nutshell.

# Weak and strong scattering

- ▶ Hence, if the scattering is very weak, then  $\langle \psi_2^+ | \psi_1^+ \rangle \approx 1$ , and the systems become only slightly entangled.

# Weak and strong scattering

- ▶ Hence, if the scattering is very weak, then  $\langle \psi_2^+ | \psi_1^+ \rangle \approx 1$ , and the systems become only slightly entangled.
- ▶ On the other hand, if the scattering is strong then  $\langle \psi_2^+ | \psi_1^+ \rangle \approx 0$ , and the entanglement is almost maximal.

# Repeated scattering events

- ▶ Suppose that the subsystem is measured  $n$  times.

# Repeated scattering events

- ▶ Suppose that the subsystem is measured  $n$  times.
- ▶ Then its evolution can be modelled as:

$$\rho_0 = \begin{pmatrix} |\alpha|^2 & \alpha\beta^* \\ \alpha^*\beta & |\beta|^2 \end{pmatrix} \longrightarrow \rho_+^n = \begin{pmatrix} |\alpha|^2 & \alpha\beta^*(\langle\phi_2^+|\phi_1^+\rangle)^n \\ \alpha^*\beta(\langle\phi_1^+|\phi_2^+\rangle)^n & |\beta|^2 \end{pmatrix}.$$

# Repeated scattering events

- ▶ Suppose that the subsystem is measured  $n$  times.
- ▶ Then its evolution can be modelled as:

$$\rho_0 = \begin{pmatrix} |\alpha|^2 & \alpha\beta^* \\ \alpha^*\beta & |\beta|^2 \end{pmatrix} \longrightarrow \rho_+^n = \begin{pmatrix} |\alpha|^2 & \alpha\beta^*(\langle\phi_2^+|\phi_1^+\rangle)^n \\ \alpha^*\beta(\langle\phi_1^+|\phi_2^+\rangle)^n & |\beta|^2 \end{pmatrix}.$$

- ▶ Sufficiently many scattering events will suffice to remove the coherence.

# Repeated scattering events

- ▶ Suppose that the subsystem is measured  $n$  times.
- ▶ Then its evolution can be modelled as:

$$\rho_0 = \begin{pmatrix} |\alpha|^2 & \alpha\beta^* \\ \alpha^*\beta & |\beta|^2 \end{pmatrix} \longrightarrow \rho_+^n = \begin{pmatrix} |\alpha|^2 & \alpha\beta^*(\langle\phi_2^+|\phi_1^+\rangle)^n \\ \alpha^*\beta(\langle\phi_1^+|\phi_2^+\rangle)^n & |\beta|^2 \end{pmatrix}.$$

- ▶ Sufficiently many scattering events will suffice to remove the coherence.
- ▶ It can be shown (Joos et al. 2003, pp. 63–7) that the rate is approximately given by

$$\langle x_1 | \rho(t) | x_2 \rangle = \langle x_1 | \rho(0) | x_2 \rangle \exp \left[ -\Lambda t (x_1 - x_2)^2 \right],$$

where  $\Lambda \sim k^2 F \sigma / \lambda^2$ , where  $F$  is the incoming particle flux,  $\sigma$  is the interaction cross-section, and  $\lambda$  is the wavelength.



# Some decoherence timescales

Environment	Dust grain	Large molecule
CMB	1	$10^{24}$
Photons at room temp.	$10^{-18}$	$10^6$
Best laboratory vacuum	$10^{-14}$	$10^{-2}$
Air at normal pressure	$10^{-31}$	$10^{-19}$

Estimates of decoherence timescales (in seconds) for the suppression of spatial interferences over a distance  $\Delta x$  equal to the size  $a$  of the object. (From Schlosshauer 2007, p. 135)

# Decoherence is fast!

*Needless to say, the shortness of these timescales is truly astonishing and indicates the extreme speed and efficiency of decoherence. Our estimates demonstrate that spatial interference effects are extremely difficult to observe for “ordinary” objects (such as dust grains) immersed into similarly “ordinary” environments (such as thermal photons). (Schlosshauer 2007, pp. 134–5)*

# The decoherence basis

- ▶ The system gets entangled with the environment, but the states between which interference is suppressed are the ones that would themselves get *least* entangled with the environment under this interaction.

# The decoherence basis

- ▶ The system gets entangled with the environment, but the states between which interference is suppressed are the ones that would themselves get *least* entangled with the environment under this interaction.
- ▶ What states are preferred will depend on the details of the interaction, but in many cases, interactions are characterised by potentials that are functions of position, so preferred states are often related to position.

# The decoherence basis

- ▶ The system gets entangled with the environment, but the states between which interference is suppressed are the ones that would themselves get *least* entangled with the environment under this interaction.
- ▶ What states are preferred will depend on the details of the interaction, but in many cases, interactions are characterised by potentials that are functions of position, so preferred states are often related to position.
- ▶ For the harmonic oscillator, one should think of the environment 'measuring' approximate eigenstates of position, or rather approximate joint eigenstates of position and momentum, so-called 'coherent states'.

# The decoherence basis

- ▶ The system gets entangled with the environment, but the states between which interference is suppressed are the ones that would themselves get *least* entangled with the environment under this interaction.
- ▶ What states are preferred will depend on the details of the interaction, but in many cases, interactions are characterised by potentials that are functions of position, so preferred states are often related to position.
- ▶ For the harmonic oscillator, one should think of the environment ‘measuring’ approximate eigenstates of position, or rather approximate joint eigenstates of position and momentum, so-called ‘coherent states’.
- ▶ It can be helpful to think in terms of ‘inertial frames’ (recall IPP-SR): the decoherence basis is like an ‘inertial frame in Hilbert space’, in which the description of the subsystem simplifies maximally.

# Decoherence and the foundations of quantum mechanics

- Of course, absent some non-unitary dynamical process of a kind for which we have no evidence, a cat-plus-environment system remains in a superposition of live-cat and dead-cat states, even after decoherence!

# Decoherence and the foundations of quantum mechanics

- ▶ Of course, absent some non-unitary dynamical process of a kind for which we have no evidence, a cat-plus-environment system remains in a superposition of live-cat and dead-cat states, even after decoherence!
- ▶ Decoherence gives us only *improper* mixtures, not *proper* mixtures!



# Decoherence and the foundations of quantum mechanics

- ▶ Of course, absent some non-unitary dynamical process of a kind for which we have no evidence, a cat-plus-environment system remains in a superposition of live-cat and dead-cat states, even after decoherence!
- ▶ Decoherence gives us only *improper* mixtures, not *proper* mixtures!
- ▶ So decoherence alone does not solve the foundational problems of quantum mechanics! (Contrary to what one sometimes hears people saying!)

# Internal versus external ‘environments’

- ▶ Although all these examples involve an *external* environment, there's no need to make this distinction.

# Internal versus external ‘environments’

- ▶ Although all these examples involve an *external* environment, there's no need to make this distinction.
- ▶ There is, in fact, every reason to think that the microscopic degrees of freedom of even an isolated system suffice to destroy coherence between macroscopic superpositions of that system's macroscopic degrees of freedom.

# Today

Decoherence introduced

Decoherence formalised

**Decoherence and branching**

Wigner functions

Further applications of decoherence

# The branching quantum state

A decohering quantum state can be understood to be *branching*:

# The branching quantum state

A decohering quantum state can be understood to be *branching*:

*The importance of decoherence is: when it occurs, quantum-mechanical systems (approximately) develop a particularly natural branching structure. For decoherence is a process which constantly and (on sub-Poincaré-recurrent timescales) irreversibly entangles the environment with the system so as to suppress interference between terms of the decoherence-preferred basis. (We might say that the environment constantly measures the system and records the result.) If we idealize the dynamics as discrete, then at each branching event, the environment permanently records the pre-branching state, so that at each time the universal state is a superposition of states each of which encodes a complete record of where 'its weight' comes from. (Wallace 2012, p. 88)*

# Decoherence and branching

Branching is caused by any process which magnifies microscopic superpositions up to the level where decoherence kicks in, and there are basically three kinds of such process:

# Decoherence and branching

Branching is caused by any process which magnifies microscopic superpositions up to the level where decoherence kicks in, and there are basically three kinds of such process:

1. Deliberate human experiments: Schrödinger's cat, the two-slit experiment, Geiger counters, etc.
2. 'Natural quantum measurements', e.g. when radiation causes cell mutation.
3. 'Classically chaotic' processes: i.e., processes governed by Hamiltonians whose classical analogues are chaotic.



# Decoherence and branching

Branching is caused by any process which magnifies microscopic superpositions up to the level where decoherence kicks in, and there are basically three kinds of such process:

1. Deliberate human experiments: Schrödinger's cat, the two-slit experiment, Geiger counters, etc.
2. 'Natural quantum measurements', e.g. when radiation causes cell mutation.
3. 'Classically chaotic' processes: i.e., processes governed by Hamiltonians whose classical analogues are chaotic.

The first is a relatively recent and rare phenomenon, but the other two are ubiquitous.

# Branch counting and its discontents

- ▶ There is no ‘finest’ choice of branching structure.

# Branch counting and its discontents

- ▶ There is no ‘finest’ choice of branching structure.
- ▶ As we fine-grain our decoherent history space, we will eventually reach a point where interference between branches ceases to be negligible, but there is no precise point where this occurs.

# Branch counting and its discontents

- ▶ There is no ‘finest’ choice of branching structure.
- ▶ As we fine-grain our decoherent history space, we will eventually reach a point where interference between branches ceases to be negligible, but there is no precise point where this occurs.
- ▶ As such, the question ‘How many branches are there?’ does not, by wide (but not universal! See Lecture 8), make sense.

# Summary from Wallace

*Decoherence causes the Universe to develop an emergent branching structure. The existence of this branching is a robust (albeit emergent) feature of reality; so is the mod-squared amplitude for any macroscopically described history. But there is no non-arbitrary decomposition of macroscopically-described histories into 'finest-grained' histories, and no non-arbitrary way of counting those histories. (Wallace 2012, pp. 101–2)*

# Today

Decoherence introduced

Decoherence formalised

Decoherence and branching

**Wigner functions**

Further applications of decoherence

# The Wigner function

- ▶ The *Wigner function* is sometimes used as an alternative to the density matrix for systems described by continuously many degrees of freedom.

# The Wigner function

- ▶ The *Wigner function* is sometimes used as an alternative to the density matrix for systems described by continuously many degrees of freedom.
- ▶ Typically, this degree of freedom is position  $x$ , and I'll focus on this case.



# The Wigner function

- ▶ The *Wigner function* is sometimes used as an alternative to the density matrix for systems described by continuously many degrees of freedom.
- ▶ Typically, this degree of freedom is position  $x$ , and I'll focus on this case.
- ▶ Given the (pure-state or mixed-state) position-space density matrix  $\rho(x, x') \equiv \langle x | \hat{\rho} | x' \rangle$  of the system, the Wigner function is defined as

$$W(x, p) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} dy e^{ipy} \rho(x + y/2, x - y/2),$$

where  $p$  is the conjugate momentum.

# The Wigner function and probability distributions

- ▶ The Wigner function bears some similarities to a (classical) probability distribution in phase space.

# The Wigner function and probability distributions

- ▶ The Wigner function bears some similarities to a (classical) probability distribution in phase space.
- ▶ For example,  $W(x, p)$  is a real-valued function of  $x$  and  $p$ , and the probability distributions  $\Pr(x) \equiv \rho(x, x)$  and  $\Pr(p) \equiv \tilde{\rho}(p, p) = \langle p | \hat{\rho} | p \rangle$  for  $x$  and  $p$  can be recovered as the marginals of  $W(x, p)$ :

$$\Pr(x) = \rho(x, x) = \int dp W(x, p),$$

$$\Pr(p) = \tilde{\rho}(p, p) = \int dx W(x, p),$$

with  $\int dx \int dp W(x, p) = \text{Tr}(\hat{\rho}) = 1$ .

# The Wigner function and probability distributions

- ▶ The Wigner function bears some similarities to a (classical) probability distribution in phase space.
- ▶ For example,  $W(x, p)$  is a real-valued function of  $x$  and  $p$ , and the probability distributions  $\Pr(x) \equiv \rho(x, x)$  and  $\Pr(p) \equiv \tilde{\rho}(p, p) = \langle p | \hat{\rho} | p \rangle$  for  $x$  and  $p$  can be recovered as the marginals of  $W(x, p)$ :

$$\Pr(x) = \rho(x, x) = \int dp W(x, p),$$

$$\Pr(p) = \tilde{\rho}(p, p) = \int dx W(x, p),$$

with  $\int dx \int dp W(x, p) = \text{Tr}(\hat{\rho}) = 1$ .

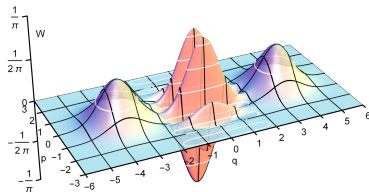
- ▶ However, the Wigner function will in general take on *negative* values in some regions, so it cannot represent a proper probability distribution!

# The Wigner function and decoherence

- ▶ To get a feel for what the Wigner function looks like, consider a superposition of two Gaussian wave packets separated by a distance  $\Delta x$  in position space.

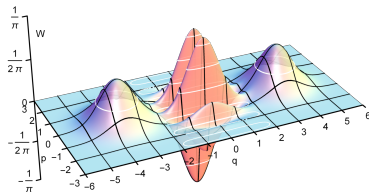
# The Wigner function and decoherence

- To get a feel for what the Wigner function looks like, consider a superposition of two Gaussian wave packets separated by a distance  $\Delta x$  in position space.
- The corresponding Wigner function looks like this:



# The Wigner function and decoherence

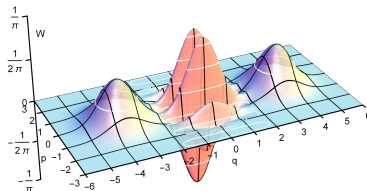
- ▶ To get a feel for what the Wigner function looks like, consider a superposition of two Gaussian wave packets separated by a distance  $\Delta x$  in position space.
- ▶ The corresponding Wigner function looks like this:



- ▶ Note: two main peaks together with an oscillatory pattern.

# The Wigner function and decoherence

- ▶ To get a feel for what the Wigner function looks like, consider a superposition of two Gaussian wave packets separated by a distance  $\Delta x$  in position space.
- ▶ The corresponding Wigner function looks like this:



- ▶ Note: two main peaks together with an oscillatory pattern.
- ▶ The main peaks, sometimes called the *direct peaks* are located in the classically-expected phase-space regions.

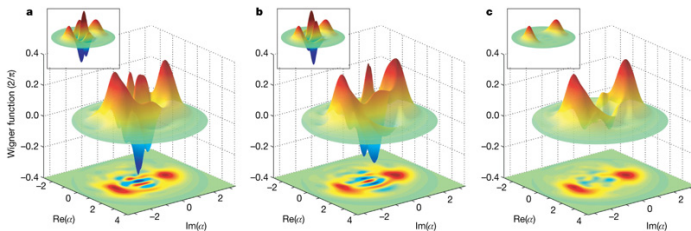


# The Wigner function and decoherence

- ▶ These oscillations encode quantum interference effects.

# The Wigner function and decoherence

- These oscillations encode quantum interference effects.
- As the system interacts with its environment, these are suppressed. E.g.:



# Today

Decoherence introduced

Decoherence formalised

Decoherence and branching

Wigner functions

Further applications of decoherence

# Further applications of decoherence

- ▶ Zeh (2003b) argues that decoherence can explain the appearance of particle detections within quantum field theory. (But decoherence in QFT is a tricky—but interesting!—ongoing business.)

# Further applications of decoherence

- ▶ Zeh (2003b) argues that decoherence can explain the appearance of particle detections within quantum field theory. (But decoherence in QFT is a tricky—but interesting!—ongoing business.)
- ▶ Another claim about the significance of decoherence relates to time asymmetry. Insofar as apparent collapse (branching) is indeed a time-directed process, decoherence will have direct relevance to the emergence of this ‘quantum mechanical arrow of time’.

# Further applications of decoherence

- ▶ Zeh (2003b) argues that decoherence can explain the appearance of particle detections within quantum field theory. (But decoherence in QFT is a tricky—but interesting!—ongoing business.)
- ▶ Another claim about the significance of decoherence relates to time asymmetry. Insofar as apparent collapse (branching) is indeed a time-directed process, decoherence will have direct relevance to the emergence of this ‘quantum mechanical arrow of time’.
- ▶ Finally, it has been suggested that decoherence should be a useful ingredient in a theory of quantum gravity, as discussed e.g. by Kiefer (1994).

# Summary

- ▶ Decoherence is the dynamical suppression of quantum interference effects.

# Summary

- ▶ Decoherence is the dynamical suppression of quantum interference effects.
- ▶ In this lecture, I've shown how to model decoherence quantitatively...



# Summary

- ▶ Decoherence is the dynamical suppression of quantum interference effects.
- ▶ In this lecture, I've shown how to model decoherence quantitatively...
- ▶ ...I've also argued that it is (a) ubiquitous, and (b) generally very fast.

# Summary

- ▶ Decoherence is the dynamical suppression of quantum interference effects.
- ▶ In this lecture, I've shown how to model decoherence quantitatively...
- ▶ ...I've also argued that it is (a) ubiquitous, and (b) generally very fast.
- ▶ We've seen that decoherence is naturally associated with the branching of the quantum state.

# Summary

- ▶ Decoherence is the dynamical suppression of quantum interference effects.
- ▶ In this lecture, I've shown how to model decoherence quantitatively...
- ▶ ...I've also argued that it is (a) ubiquitous, and (b) generally very fast.
- ▶ We've seen that decoherence is naturally associated with the branching of the quantum state.
- ▶ I've also introduced Wigner functions as one way of visualising decoherence.








# Summary

- ▶ Decoherence is the dynamical suppression of quantum interference effects.
- ▶ In this lecture, I've shown how to model decoherence quantitatively...
- ▶ ...I've also argued that it is (a) ubiquitous, and (b) generally very fast.
- ▶ We've seen that decoherence is naturally associated with the branching of the quantum state.
- ▶ I've also introduced Wigner functions as one way of visualising decoherence.
- ▶ Finally, we've seen a number of further anticipated applications for decoherence in physics.








# Summary

- ▶ Decoherence is the dynamical suppression of quantum interference effects.
- ▶ In this lecture, I've shown how to model decoherence quantitatively...
- ▶ ...I've also argued that it is (a) ubiquitous, and (b) generally very fast.
- ▶ We've seen that decoherence is naturally associated with the branching of the quantum state.
- ▶ I've also introduced Wigner functions as one way of visualising decoherence.
- ▶ Finally, we've seen a number of further anticipated applications for decoherence in physics.
- ▶ Next time: *the measurement problem*.

# References I

-  E. Joos, H. D. Zeh, C. Kiefer, D. Giulini, J. Kupsch and I. O. Stamatescu, *Decoherence and the Appearance of a Classical World in Quantum Theory*, Berlin: Springer, 2003.
-  Claus Kiefer, “The Semiclassical Approximation to Quantum Gravity”, in J. Ehlers and H. Friedrich (eds.), *Canonical Gravity: From Classical to Quantum*, Berlin: Springer, pp. 170–212, 1994.
-  Tim Maudlin, *Philosophy of Physics: Quantum Theory*, Princeton, NJ: Princeton University Press, 2019.
-  Nevill Francis Mott, “The Scattering of Fast Electrons by Atomic Nuclei”, *Proceedings of the Royal Society A: Mathematical, Physical and Engineering Sciences* 124(794), 1929.
-  Maximilian Schlosshauer, *Decoherence and the Quantum-to-Classical Transition*, Berlin: Springer, 2007.
-  David Wallace, *The Emergent Multiverse*, Oxford: Oxford University Press, 2012.
-  H. D. Zeh, “On the Interpretation of Measurement in Quantum Theory”, *Foundations of Physics* 1, pp. 69–79, 1970.

# References II

-  H. D. Zeh, “Toward a Quantum Theory of Observation”, *Foundations of Physics* 3, pp. 109–116, 1973.
-  H. D. Zeh, “Basic Concepts and Their Interpretation”, in Joos et al. (2003), pp. 7–40, 2003.
-  H. D. Zeh, “There is no “First” Quantization”, *Physics Letters A* 309, pp. 329–34, 2003.
-  W. H. Zurek, “Pointer Basis of Quantum Apparatus: Into what Mixture does the Wave Packet Collapse?”, *Physical Review D* 24, pp. 1516–25, 1981.
-  W. H. Zurek, “Environment-Induced Superselection Rules”, *Physical Review D* 26, pp. 1862–80, 1982.
-  W. H. Zurek, “Decoherence and the Transition from Quantum to Classical”, *Physics Today* 44 (October), pp. 36–44, 1991.
-  W. H. Zurek, “Decoherence, Einselection, and the Quantum Origins of the Classical”, *Reviews of Modern Physics* 75, pp. 715–75, 2003.